

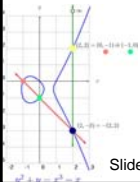
# An Introduction to the Birch and Swinnerton-Dyer Conjecture

April 1, 2004

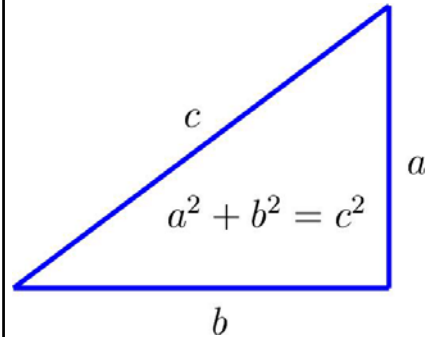
William Stein

<http://modular.fas.harvard.edu>

Slides: <http://modular.fas.harvard.edu/talks/uconn>



## Pythagorean Theorem



Pythagoras  
approx 569-475 B.C.

## Babylonians



1800-1600 B.C.



BABYLON, IRAQ: LION STATUE

## Pythagorean Triples

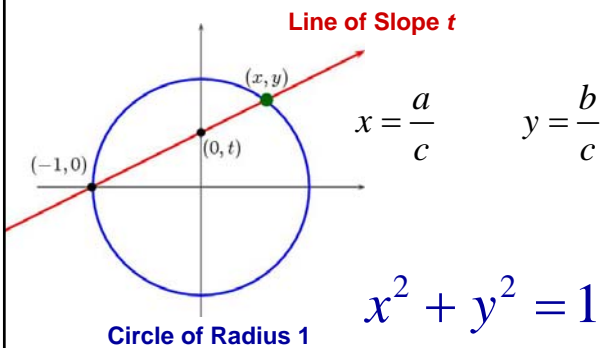
- (3, 4, 5)
- (5, 12, 13)
- (7, 24, 25)
- (9, 40, 41)
- (11, 60, 61)
- (13, 84, 85)
- (15, 8, 17)
- (21, 20, 29)
- (33, 56, 65)
- (35, 12, 37)
- (39, 80, 89)
- (45, 28, 53)
- (55, 48, 73)
- (63, 16, 65)
- (65, 72, 97)
- (77, 36, 85)
- ⋮



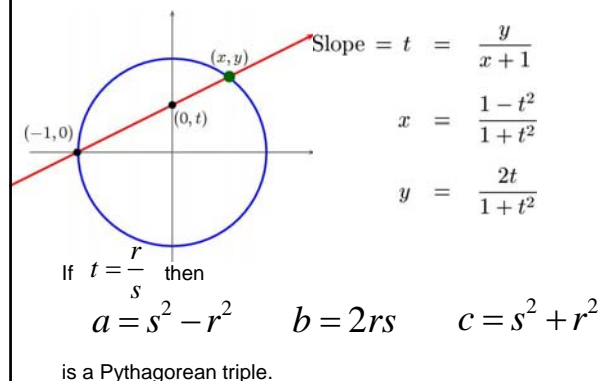
Triples of whole numbers  $a, b, c$  such that

$$a^2 + b^2 = c^2$$

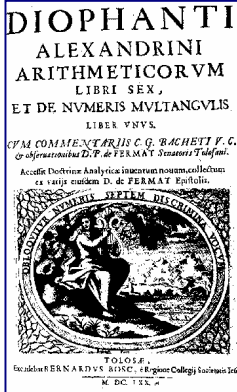
## Enumerating Pythagorean Triples



## Enumerating Pythagorean Triples



# Integer and Rational Solutions



## Elliptic Curves

$x^3 + y^3 = 1$

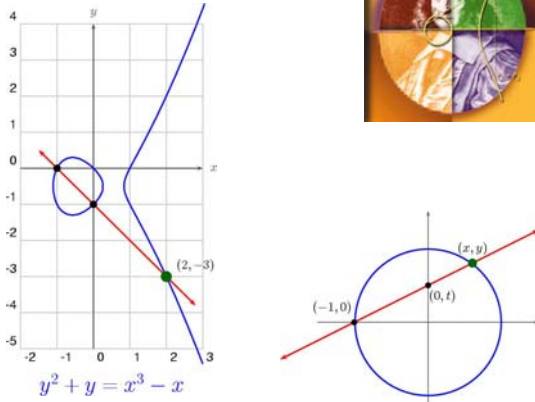
$y^2 = x^3 + ax + b$

~~$3x^3 + 4y^3 + 5 = 0$~~

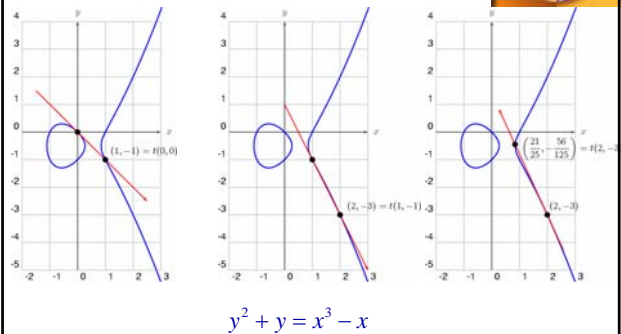
$y^2 + y = x^3 - x$

Cubic algebraic equations in two unknowns  $x$  and  $y$ . Exactly the 1-dimensional compact algebraic groups.

## The Secant Process



## The Tangent Process



## Big Points From Tangents

(0, 0)

(1, -1)

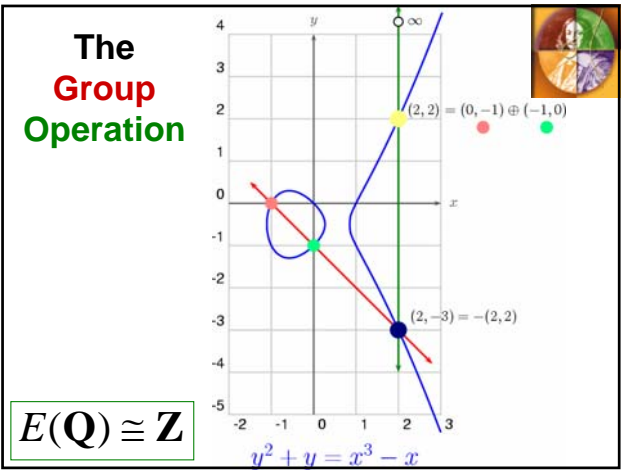
(2, -3)

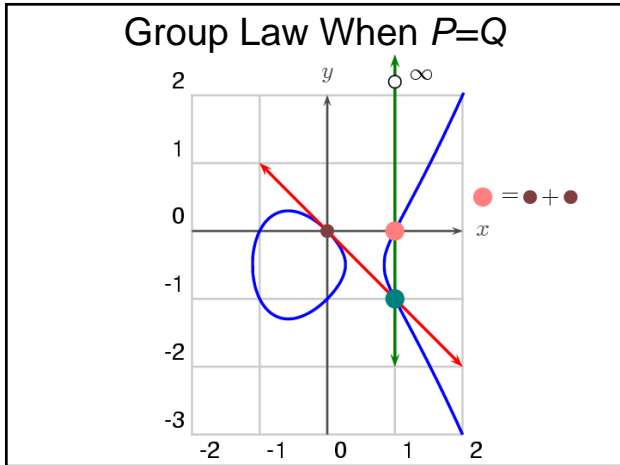
$(\frac{21}{25}, -\frac{56}{125})$

$(\frac{480106}{4225}, \frac{332513754}{274625})$

$(\frac{53139223644814624290821}{1870098771536627436025}, \frac{12282540069555885821741113162699381}{80871745605559864852893980186125})$

## The Group Operation





## Mordell's Theorem

The group  $E(\mathbb{Q})$  of rational points on an elliptic curve is finitely generated. Thus every **rational** point can be obtained from a **finite** number of solutions, using some combination of the secant and tangent processes.

1888-1972

### The Simplest Solution Can Be Huge

Simplest solution to  $y^2 = x^3 + 7823$ :

$$x = \frac{2263582143321421502100209233517777}{143560497706190989485475151904721}$$

$$y = \frac{186398152584623305624837551485596770028144776655756}{1720094998106353355821008525938727950159777043481}$$

(Found by Michael Stoll in 2002)

Stoll

### Central Question

How many solutions are needed to generate all solutions to a cubic equation?

Birch and Swinnerton-Dyer

EDSAC in Cambridge, England

### More EDSAC Photos

Electronic Delay Storage Automatic Computer

### Conjectures Proliferated

#### Conjectures Concerning Elliptic Curves

By B.J. Birch, pub. 1965

"The subject of this lecture is rather a special one. I want to describe some computations undertaken by myself and Swinnerton-Dyer on EDSAC, by which we have calculated the zeta-functions of certain elliptic curves. As a result of these computations we have found an analogue for an elliptic curve of the Tamagawa number of an algebraic group; and conjectures (due to ourselves, due to Tate, and due to others) have proliferated. [...] though the associated theory is both abstract and technically complicated, the objects about which I intend to talk are usually simply defined and often machine computable; experimentally we have detected certain relations between different invariants, but we have been unable to approach proofs of these relations, which must lie very deep."

## Solutions Modulo $p$

Consider solutions modulo a prime number:  
 $p = 2, 3, 5, 7, 11, 13, 17, 19, 23, 29, 31, 37, \dots$

We say that  $(a, b)$ , with  $a, b$  integers, is a **solution modulo  $p$**  to  

$$y^2 + y = x^3 - x$$
 if  

$$b^2 + b \equiv a^3 - a \pmod{p}.$$
 For example,  

$$4^2 + 4 \equiv 2^3 - 2 \pmod{7}.$$
 This idea generalizes to any cubic equation.


## Counting Solutions

$N(p) = \#$  of solutions  $(\text{mod } p) \leq p^2$

$$y^2 + y = x^3 - x$$

$N(7) = 8$

## The Error Term (Hasse's Bound)



1898-1979

Write  $N(p) = p + A(p)$  with error term

$$|A(p)| \leq 2\sqrt{p}$$

For example,  $N(7) = 8$  so  $A(7) = 1$ .

## More Primes

$$y^2 + y = x^3 - x$$


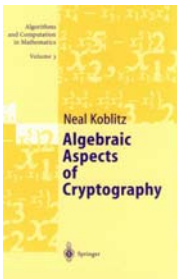
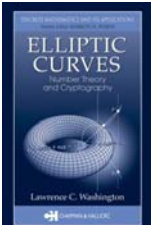
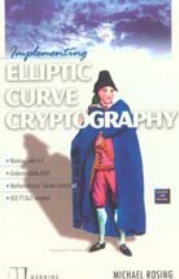
$A(2) = 2$   
 $A(3) = 3$   
 $A(5) = 2$   
 $A(7) = 1$   
 $A(11) = 5$   
 $\vdots$

$N(p) = \text{number of soln's}$   
 $N(p) = p + A(p)$

Thus  $N(p) > p$  for these primes  $p$ .

Continuing:  $A(13) = 2, A(17) = 0, A(19) = 0, A(23) = -2, A(29) = -6, A(31) = 4, \dots$

## Commercial Break: Cryptographic Application

London Mathematical Society Lecture Note Series 265  
 Elliptic Curves in Cryptography  
 Dan Boneh, David Glynn & Neal Koblitz

## Guess


If a cubic curve has infinitely many solutions, then probably  $N(p)$  is **larger** than  $p$ , for many primes  $p$ .

Thus maybe the product of terms

$M$	$\prod_{p \leq M} \frac{p}{N(p)}$
10	0.083...
100	0.032...
1000	0.021...
10000	0.013...
100000	0.010...

$$\prod_{p \leq M} \frac{p}{N(p)}$$

will tend to 0 as  $M$  gets larger.



Swinnerton-Dyer at AIM

## The $L$ -function

$$L(E, s) = \prod \frac{1}{1 - A(p) \cdot p^{-s} + p \cdot p^{-2s}}$$

The product is over all primes  $p$ . (At a finite number of primes the factor must be slightly adjusted.)

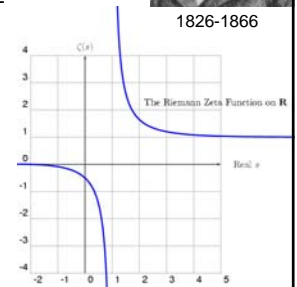
Product converges for  $\text{Re}(s) > \frac{3}{2}$

## The Riemann Zeta Function

$$\zeta(s) = \prod_{\text{all primes } p} \frac{1}{1 - p^{-s}}$$



1826-1866



Zeta extends to an analytic function everywhere but at 1.

## An Analytic Function



Swinnerton-Dyer

Thus Bryan Birch and Sir Peter Swinnerton-Dyer defined an analytic function  $L(E, s)$  such that formally:

$$L(E, 1) = \prod \frac{p}{N(p)}$$

## The Birch and Swinnerton-Dyer Conjecture

The order of vanishing of

$$L(E, s)$$

at 1 equals the rank of the group  $E(\mathbf{Q})$  of all rational solutions to  $E$ :

$$\text{ord}_{s=1} L(E, s) = \text{rank } E(\mathbf{Q})$$

CMI: \$1000000 reward for a proof.



Bryan Birch

## The Modularity Theorem

**Theorem** (2000, Wiles, Taylor, and Breuil, Conrad, Diamond) *The curve  $E$  arises from a "modular form", so  $L(E, s)$  extends to an analytic function on the whole complex plane.*

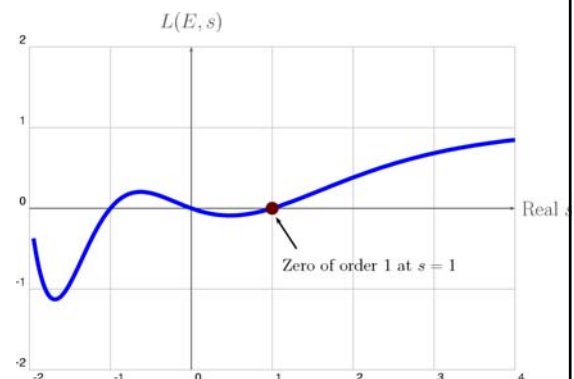
(This modularity is the key input to Wiles's proof of Fermat's Last Theorem.)



A. Wiles



R. Taylor

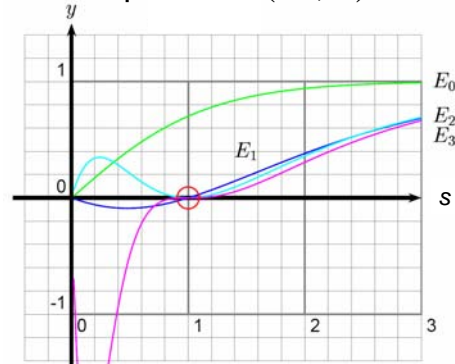


$L$ -series for  $y^2 + y = x^3 - x$

Birch and Swinnerton-Dyer

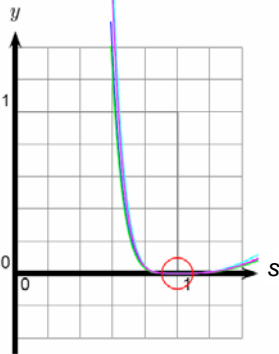


Some Graphs of  $L(E, s)$  for  $s$  real



The graph of  $L(E_r, s)$  vanishes to order  $r$ .

Examples of  $L(E, s)$  that **appear to** vanish to order 4



$$y^2 + xy = x^3 - x^2 - 79x + 289$$

### Congruent Number Problem

**Open Problem:** Decide whether an integer  $n$  is the area of a right triangle with rational side lengths.

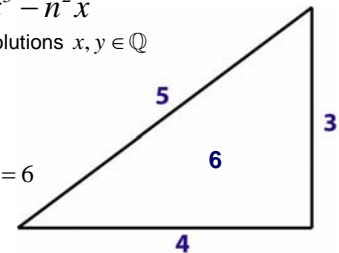
Fact: Yes, precisely when the cubic equation

$$y^2 = x^3 - n^2x$$

has infinitely many solutions  $x, y \in \mathbb{Q}$

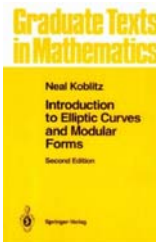
$$n = 6$$

$$A = \frac{1}{2}b \times h = \frac{1}{2}3 \times 4 = 6$$



### Connection with BSD Conjecture

**Theorem (Tunnell):** The Birch and Swinnerton-Dyer conjecture implies that there is a simple algorithm that decides whether or not a given integer  $n$  is a congruent number.



See [Koblitz] for more details

### Gross-Zagier Theorem



Benedict Gross



Don Zagier


When the order of vanishing of  $L(E, s)$  at 1 is exactly 1, then  $E$  has rank at least 1.

Subsequent work showed that if the order of vanishing is exactly 1, then the rank equals 1, so the Birch and Swinnerton-Dyer conjecture is true in this case.

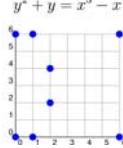
## Kolyvagin's Theorem




**Theorem.** If  $L(E, 1)$  is nonzero then the rank is zero, i.e.,  $E(\mathbf{Q})$  is finite.



$\text{ord}_{s=1} L(E, s) = \text{rank } E(\mathbf{Q})$



## Thank You



**Acknowledgments**

- Benedict Gross
- Keith Conrad
- Ariel Shwayder (graphs of  $L(E, s)$ )

## Mazur's Theorem

For any two rational  $a, b$ , there are at most 15 rational solutions  $(x, y)$  to

$$y^2 = x^3 + ax + b$$

with finite order.



*Theorem (8). — Let  $\Phi$  be the torsion subgroup of the Mordell-Weil group of an elliptic curve defined over  $\mathbf{Q}$ . Then  $\Phi$  is isomorphic to one of the following 15 groups:*

$\mathbf{Z}/m \cdot \mathbf{Z}$  for  $m \leq 10$  or  $m = 12$   
 or:  $(\mathbf{Z}/2 \cdot \mathbf{Z}) \times (\mathbf{Z}/2v \cdot \mathbf{Z})$  for  $v \leq 4$ .