

# CONSTRUCTING ELEMENTS IN SHAFAREVICH-TATE GROUPS OF MODULAR MOTIVES

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ABSTRACT. We study Shafarevich-Tate groups of motives attached to modular forms on  $\Gamma_0(N)$  of weight bigger than 2. We deduce a criterion for the existence of nontrivial elements of these Shafarevich-Tate groups, and give 16 examples in which a strong form of the Beilinson-Bloch conjecture implies the existence of such elements. We also use modular symbols and observations about Tamagawa numbers to compute nontrivial conjectural lower bounds on the orders of the Shafarevich-Tate groups of modular motives of low level and weight at most 12. Our methods build upon the idea of visibility due to Cremona and Mazur, but in the context of motives instead of abelian varieties.

## 1. INTRODUCTION

Let  $E$  be an elliptic curve defined over  $\mathbb{Q}$  and let  $L(E, s)$  be the associated  $L$ -function. The conjecture of Birch and Swinnerton-Dyer [BS-D] predicts that the order of vanishing of  $L(E, s)$  at  $s = 1$  is the rank of the group  $E(\mathbb{Q})$  of rational points, and also gives an interpretation of the leading term in the Taylor expansion in terms of various quantities, including the order of the Shafarevich-Tate group of  $E$ .

Cremona and Mazur [CM1] look, among all strong Weil elliptic curves over  $\mathbb{Q}$  of conductor  $N \leq 5500$ , at those with nontrivial Shafarevich-Tate group (according to the Birch and Swinnerton-Dyer conjecture). Suppose that the Shafarevich-Tate group has predicted elements of prime order  $p$ . In most cases they find another elliptic curve, often of the same conductor, whose  $p$ -torsion is Galois-isomorphic to that of the first one, and which has positive rank. The rational points on the second elliptic curve produce classes in the common  $H^1(\mathbb{Q}, E[p])$ . They show [CM2] that these lie in the Shafarevich-Tate group of the first curve, so rational points on one curve explain elements of the Shafarevich-Tate group of the other curve.

The Bloch-Kato conjecture [BK] is the generalisation to arbitrary motives of the leading term part of the Birch and Swinnerton-Dyer conjecture. The Beilinson-Bloch conjecture [B, Be] generalises the part about the order of vanishing at the central point, identifying it with the rank of a certain Chow group.

This paper is a partial generalisation of [CM1] and [AS] from abelian varieties over  $\mathbb{Q}$  associated to modular forms of weight 2 to the motives attached to modular forms of higher weight. It also does for congruences between modular forms of equal weight what [Du2] did for congruences between modular forms of different weights.

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We consider the situation where two newforms  $f$  and  $g$ , both of even weight  $k > 2$  and level  $N$ , are congruent modulo a maximal ideal  $\mathfrak{q}$  of odd residue characteristic, and  $L(g, k/2) = 0$  but  $L(f, k/2) \neq 0$ . It turns out that this forces  $L(g, s)$  to vanish to order at least 2 at  $s = k/2$ . In Section 7, we give sixteen such examples (all with  $k = 4$  and  $k = 6$ ), and in each example, we find that  $\mathfrak{q}$  divides the numerator of the algebraic number  $L(f, k/2)/\text{vol}_\infty$ , where  $\text{vol}_\infty$  is a certain canonical period.

In fact, we show how this divisibility may be deduced from the vanishing of  $L(g, k/2)$  using recent work of Vatsal [V]. The point is, the congruence between  $f$  and  $g$  leads to a congruence between suitable ‘‘algebraic parts’’ of the special values  $L(f, k/2)$  and  $L(g, k/2)$ . In slightly more detail, a multiplicity one result of Faltings and Jordan shows that the congruence of Fourier expansions leads to a congruence of certain associated cohomology classes. These are then identified with the modular symbols which give rise to the algebraic parts of special values. If  $L(g, k/2)$  vanishes then the congruence implies that  $L(f, k/2)/\text{vol}_\infty$  must be divisible by  $\mathfrak{q}$ .

The Bloch-Kato conjecture sometimes then implies that the Shafarevich-Tate group  $\text{III}$  attached to  $f$  has nonzero  $\mathfrak{q}$ -torsion. Under certain hypotheses and assumptions, the most substantial of which is the Beilinson-Bloch conjecture relating the vanishing of  $L(g, k/2)$  to the existence of algebraic cycles, we are able to construct some of the predicted elements of  $\text{III}$  using the Galois-theoretic interpretation of the congruence to transfer elements from a Selmer group for  $g$  to a Selmer group for  $f$ . One might say that algebraic cycles for one motive explain elements of  $\text{III}$  for the other, or that we use the congruence to link the Beilinson-Bloch conjecture for one motive with the Bloch-Kato conjecture for the other.

We also compute data which, assuming the Bloch-Kato conjecture, provides lower bounds for the orders of numerous Shafarevich-Tate groups (see Section 7.3). We thank the referee for many constructive comments.

## 2. MOTIVES AND GALOIS REPRESENTATIONS

This section and the next provide definitions of some of the quantities appearing later in the Bloch-Kato conjecture. Let  $f = \sum a_n q^n$  be a newform of weight  $k \geq 2$  for  $\Gamma_0(N)$ , with coefficients in an algebraic number field  $E$ , which is necessarily totally real. Let  $\lambda$  be any finite prime of  $E$ , and let  $\ell$  denote its residue characteristic. A theorem of Deligne [De1] implies the existence of a two-dimensional vector space  $V_\lambda$  over  $E_\lambda$ , and a continuous representation

$$\rho_\lambda : \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{Aut}(V_\lambda),$$

such that

- (1)  $\rho_\lambda$  is unramified at  $p$  for all primes  $p$  not dividing  $\ell N$ , and
- (2) if  $\text{Frob}_p$  is an arithmetic Frobenius element at such a  $p$  then the characteristic polynomial of  $\text{Frob}_p^{-1}$  acting on  $V_\lambda$  is  $x^2 - a_p x + p^{k-1}$ .

Following Scholl [Sc],  $V_\lambda$  may be constructed as the  $\lambda$ -adic realisation of a Grothendieck motive  $M_f$ . There are also Betti and de Rham realisations  $V_B$  and  $V_{\text{dR}}$ , both 2-dimensional  $E$ -vector spaces. For details of the construction see [Sc]. The de Rham realisation has a Hodge filtration  $V_{\text{dR}} = F^0 \supset F^1 = \dots = F^{k-1} \supset F^k = \{0\}$ . The Betti realisation  $V_B$  comes from singular cohomology, while  $V_\lambda$  comes from étale  $\ell$ -adic cohomology. For each prime  $\lambda$ , there is a natural isomorphism  $V_B \otimes E_\lambda \simeq V_\lambda$ . We may choose a  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ -stable  $O_\lambda$ -module  $T_\lambda$  inside each  $V_\lambda$ . Define  $A_\lambda = V_\lambda/T_\lambda$ . Let  $A[\lambda]$  denote the  $\lambda$ -torsion in  $A_\lambda$ . There is the Tate

twist  $V_\lambda(j)$  (for any integer  $j$ ), which amounts to multiplying the action of  $\text{Frob}_p$  by  $p^j$ .

Following [BK] (Section 3), for  $p \neq \ell$  (including  $p = \infty$ ) let

$$H_f^1(\mathbb{Q}_p, V_\lambda(j)) = \ker(H^1(D_p, V_\lambda(j)) \rightarrow H^1(I_p, V_\lambda(j))).$$

The subscript  $f$  stands for “finite part”,  $D_p$  is a decomposition subgroup at a prime above  $p$ ,  $I_p$  is the inertia subgroup, and the cohomology is for continuous cocycles and coboundaries. For  $p = \ell$  let

$$H_f^1(\mathbb{Q}_\ell, V_\lambda(j)) = \ker(H^1(D_\ell, V_\lambda(j)) \rightarrow H^1(D_\ell, V_\lambda(j) \otimes_{\mathbb{Q}_\ell} B_{\text{cris}}))$$

(see Section 1 of [BK] for definitions of Fontaine’s rings  $B_{\text{cris}}$  and  $B_{\text{dR}}$ ). Let  $H_f^1(\mathbb{Q}, V_\lambda(j))$  be the subspace of elements of  $H^1(\mathbb{Q}, V_\lambda(j))$  whose local restrictions lie in  $H_f^1(\mathbb{Q}_p, V_\lambda(j))$  for all primes  $p$ .

There is a natural exact sequence

$$0 \longrightarrow T_\lambda(j) \longrightarrow V_\lambda(j) \xrightarrow{\pi} A_\lambda(j) \longrightarrow 0.$$

Let  $H_f^1(\mathbb{Q}_p, A_\lambda(j)) = \pi_* H_f^1(\mathbb{Q}_p, V_\lambda(j))$ . Define the  $\lambda$ -Selmer group  $H_f^1(\mathbb{Q}, A_\lambda(j))$  to be the subgroup of elements of  $H^1(\mathbb{Q}, A_\lambda(j))$  whose local restrictions lie in  $H_f^1(\mathbb{Q}_p, A_\lambda(j))$  for all primes  $p$ . Note that the condition at  $p = \infty$  is superfluous unless  $\ell = 2$ . Define the Shafarevich-Tate group

$$\text{III}(j) = \bigoplus_\lambda H_f^1(\mathbb{Q}, A_\lambda(j)) / \pi_* H_f^1(\mathbb{Q}, V_\lambda(j)).$$

Define an ideal  $\#\text{III}(j)$  of  $O_E$ , in which the exponent of any prime ideal  $\lambda$  is the length of the  $\lambda$ -component of  $\text{III}(j)$ . We shall only concern ourselves with the case  $j = k/2$ , and write  $\text{III}$  for  $\text{III}(k/2)$ . It depends on the choice of  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ -stable  $O_\lambda$ -module  $T_\lambda$  inside each  $V_\lambda$ . But if  $A[\lambda]$  is irreducible then  $T_\lambda$  is unique up to scaling and the  $\lambda$ -part of  $\text{III}$  is independent of choices.

In the case  $k = 2$  the motive comes from a (self-dual) isogeny class of abelian varieties over  $\mathbb{Q}$ , with endomorphism algebra containing  $E$ . Choose an abelian variety  $B$  in the isogeny class in such a way that the endomorphism ring of  $B$  contains the full ring of integers  $O_E$ . If one takes all the  $T_\lambda(1)$  to be  $\lambda$ -adic Tate modules, then what we have defined above coincides with the usual Shafarevich-Tate group of  $B$  (assuming finiteness of the latter, or just taking the quotient by its maximal divisible subgroup). To see this one uses 3.11 of [BK], for  $\ell = p$ . For  $\ell \neq p$ ,  $H_f^1(\mathbb{Q}_p, V_\ell) = 0$ . Considering the formal group, every class in  $B(\mathbb{Q}_p)/\ell B(\mathbb{Q}_p)$  is represented by an  $\ell$ -power torsion point in  $B(\mathbb{Q}_p)$ , so maps to zero in  $H^1(\mathbb{Q}_p, A_\ell)$ .

Define the group of global torsion points

$$\Gamma_{\mathbb{Q}} = \bigoplus_\lambda H^0(\mathbb{Q}, A_\lambda(k/2)).$$

This is analogous to the group of rational torsion points on an elliptic curve. Define an ideal  $\#\Gamma_{\mathbb{Q}}$  of  $O_E$ , in which the exponent of any prime ideal  $\lambda$  is the length of the  $\lambda$ -component of  $\Gamma_{\mathbb{Q}}$ .

### 3. CANONICAL PERIODS

We assume from now on for convenience that  $N \geq 3$ . We need to choose convenient  $O_E$ -lattices  $T_B$  and  $T_{\text{dR}}$  in the Betti and de Rham realisations  $V_B$  and  $V_{\text{dR}}$  of  $M_f$ . We do this in a way such that  $T_B$  and  $T_{\text{dR}} \otimes_{O_E} O_E[1/Nk!]$  agree with (respectively) the  $O_E$ -lattice  $\mathfrak{M}_{f,B}$  and the  $O_E[1/Nk!]$ -lattice  $\mathfrak{M}_{f,\text{dR}}$  defined in [DFG1]

using cohomology, with non-constant coefficients, of modular curves. (In [DFG1], see especially Sections 2.2 and 5.4, and the paragraph preceding Lemma 2.3.)

For any finite prime  $\lambda$  of  $O_E$  define the  $O_\lambda$  module  $T_\lambda$  inside  $V_\lambda$  to be the image of  $T_B \otimes O_\lambda$  under the natural isomorphism  $V_B \otimes E_\lambda \simeq V_\lambda$ . Then the  $O_\lambda$ -module  $T_\lambda$  is  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ -stable.

Let  $M(N)$  be the modular curve over  $\mathbb{Z}[1/N]$  parametrising generalised elliptic curves with full level- $N$  structure. Let  $\mathfrak{E}$  be the universal generalised elliptic curve over  $M(N)$ . Let  $\mathfrak{E}^{k-2}$  be the  $(k-2)$ -fold fibre product of  $\mathfrak{E}$  over  $M(N)$ . (The motive  $M_f$  is constructed using a projector on the cohomology of a desingularisation of  $\mathfrak{E}^{k-2}$ ). Realising  $M(N)(\mathbb{C})$  as the disjoint union of  $\phi(N)$  copies of the quotient  $\Gamma(N)\backslash\mathfrak{H}^*$  (where  $\mathfrak{H}^*$  is the completed upper half plane), and letting  $\tau$  be a variable on  $\mathfrak{H}$ , the fibre  $\mathfrak{E}_\tau$  is isomorphic to the elliptic curve with period lattice generated by 1 and  $\tau$ . Let  $z_i \in \mathbb{C}/\langle 1, \tau \rangle$  be a variable on the  $i^{\text{th}}$  copy of  $\mathfrak{E}_\tau$  in the fibre product. Then  $2\pi i f(\tau) d\tau \wedge dz_1 \wedge \dots \wedge dz_{k-2}$  is a well-defined differential form on (a desingularisation of)  $\mathfrak{E}^{k-2}$  and naturally represents a generating element of  $F^{k-1}T_{\text{dR}}$ . (At least we can make our choices locally at primes dividing  $Nk!$  so that this is the case.) We shall call this element  $e(f)$ .

Under the de Rham isomorphism between  $V_{\text{dR}} \otimes \mathbb{C}$  and  $V_B \otimes \mathbb{C}$ ,  $e(f)$  maps to some element  $\omega_f$ . There is a natural action of complex conjugation on  $V_B$ , breaking it up into one-dimensional  $E$ -vector spaces  $V_B^+$  and  $V_B^-$ . Let  $\omega_f^+$  and  $\omega_f^-$  be the projections of  $\omega_f$  to  $V_B^+ \otimes \mathbb{C}$  and  $V_B^- \otimes \mathbb{C}$ , respectively. Let  $T_B^\pm$  be the intersections of  $V_B^\pm$  with  $T_B$ . These are rank one  $O_E$ -modules, but not necessarily free, since the class number of  $O_E$  may be greater than one. Choose nonzero elements  $\delta_f^\pm$  of  $T_B^\pm$  and let  $\mathfrak{a}^\pm$  be the ideals  $[T_B^\pm : O_E \delta_f^\pm]$ . Define complex numbers  $\Omega_f^\pm$  by  $\omega_f^\pm = \Omega_f^\pm \delta_f^\pm$ .

#### 4. THE BLOCH-KATO CONJECTURE

In this section we extract from the Bloch-Kato conjecture for  $L(f, k/2)$  a prediction about the order of the Shafarevich-Tate group, by analysing the other terms in the formula.

Let  $L(f, s)$  be the  $L$ -function attached to  $f$ . For  $\Re(s) > \frac{k+1}{2}$  it is defined by the Dirichlet series with Euler product  $\sum_{n=1}^{\infty} a_n n^{-s} = \prod_p (P_p(p^{-s}))^{-1}$ , but there is an analytic continuation given by an integral, as described in the next section. Suppose that  $L(f, k/2) \neq 0$ . The Bloch-Kato conjecture for the motive  $M_f(k/2)$  predicts the following equality of fractional ideals of  $E$ :

$$\frac{L(f, k/2)}{\text{vol}_\infty} = \left( \prod_p c_p(k/2) \right) \frac{\#\text{III}}{\mathfrak{a}^\pm (\#\Gamma_\mathbb{Q})^2}.$$

Here, **and from this point onwards**,  $\pm$  represents the parity of  $(k/2) - 1$ . The quantity  $\text{vol}_\infty$  is equal to  $(2\pi i)^{k/2}$  multiplied by the determinant of the isomorphism  $V_B^\pm \otimes \mathbb{C} \simeq (V_{\text{dR}}/F^{k/2}) \otimes \mathbb{C}$ , calculated with respect to the lattices  $O_E \delta_f^\pm$  and the image of  $T_{\text{dR}}$ . For  $l \neq p$ ,  $\text{ord}_\lambda(c_p(j))$  is defined to be

$$\begin{aligned} & \text{length } H_f^1(\mathbb{Q}_p, T_\lambda(j))_{\text{tors}} - \text{ord}_\lambda(P_p(p^{-j})) \\ & = \text{length } (H^0(\mathbb{Q}_p, A_\lambda(j))/H^0(\mathbb{Q}_p, V_\lambda(j)^{I_p}/T_\lambda(j)^{I_p})). \end{aligned}$$

We omit the definition of  $\text{ord}_\lambda(c_p(j))$  for  $\lambda \mid p$ , which requires one to assume Fontaine's de Rham conjecture ([Fo1], Appendix A6), and depends on the choices of  $T_{\text{dR}}$  and  $T_B$ , locally at  $\lambda$ . (We shall mainly be concerned with the  $q$ -part of the

Bloch-Kato conjecture, where  $q$  is a prime of good reduction. For such primes, the de Rham conjecture follows from Theorem 5.6 of [Fa].)

Strictly speaking, the conjecture in [BK] is only given for  $E = \mathbb{Q}$ . We have taken here the obvious generalisation of a slight rearrangement of (5.15.1) of [BK]. The Bloch-Kato conjecture has been reformulated and generalised by Fontaine and Perrin-Riou, who work with general  $E$ , though that is not really the point of their work. In Section 11 of [Fo2] it is sketched how to deduce the original conjecture from theirs, in the case  $E = \mathbb{Q}$ .

**Lemma 4.1.**  $\text{vol}_\infty / \mathfrak{a}^\pm = c(2\pi i)^{k/2} \mathfrak{a}^\pm \Omega_\pm$ , with  $c \in E$  and  $\text{ord}_\lambda(c) = 0$  for  $\lambda \nmid Nk!$ .

*Proof.* We note that  $\text{vol}_\infty$  is equal to  $(2\pi i)^{k/2}$  times the determinant of the period map from  $F^{k/2} V_{\text{dR}} \otimes \mathbb{C}$  to  $V_B^\pm \otimes \mathbb{C}$ , with respect to lattices dual to those we used above in the definition of  $\text{vol}_\infty$  (c.f. the last paragraph of 1.7 of [De2]). We are using here natural pairings. Meanwhile,  $\Omega_\pm$  is the determinant of the same map with respect to the lattices  $F^{k/2} T_{\text{dR}}$  and  $O_E \delta_f^\pm$ . Recall that the index of  $O_E \delta_f^\pm$  in  $T_B^\pm$  is the ideal  $\mathfrak{a}^\pm$ . Then the proof is completed by noting that, locally away from primes dividing  $Nk!$ , the index of  $T_{\text{dR}}$  in its dual is equal to the index of  $T_B$  in its dual, both being equal to the ideal denoted  $\eta$  in [DFG2].  $\square$

*Remark 4.2.* Note that the “quantities”  $\mathfrak{a}^\pm \Omega_\pm$  and  $\text{vol}_\infty / \mathfrak{a}^\pm$  are independent of the choice of  $\delta_f^\pm$ .

**Lemma 4.3.** *Let  $p \nmid N$  be a prime and  $j$  an integer. Then the fractional ideal  $c_p(j)$  is supported at most on divisors of  $p$ .*

*Proof.* As on p. 30 of [Fl2], for odd  $l \neq p$ ,  $\text{ord}_\lambda(c_p(j))$  is the length of the finite  $O_\lambda$ -module  $H^0(\mathbb{Q}_p, H^1(I_p, T_\lambda(j))_{\text{tors}})$ , where  $I_p$  is an inertia group at  $p$ . But  $T_\lambda(j)$  is a trivial  $I_p$ -module, so  $H^1(I_p, T_\lambda(j))$  is torsion free.  $\square$

**Lemma 4.4.** *Let  $q \nmid N$  be a prime satisfying  $q > k$ . Suppose that  $A[\mathfrak{q}]$  is an irreducible representation of  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ , where  $\mathfrak{q} \mid q$ . Let  $p \mid N$  be a prime, and if  $p^2 \mid N$  suppose that  $p \not\equiv -1 \pmod{q}$ . Suppose also that  $f$  is not congruent modulo  $\mathfrak{q}$  (for Fourier coefficients of index coprime to  $Nq$ ) to any newform of weight  $k$ , trivial character, and level dividing  $N/p$ . Then  $\text{ord}_q(c_p(j)) = 0$  for all integers  $j$ .*

*Proof.* There is a natural injective map from  $V_q(j)^{I_p} / T_q(j)^{I_p}$  to  $H^0(I_p, A_q(j))$  (i.e.,  $A_q(j)^{I_p}$ ). Consideration of  $\mathfrak{q}$ -torsion shows that

$$\dim_{O_E/\mathfrak{q}} H^0(I_p, A[\mathfrak{q}](j)) \geq \dim_{E_q} H^0(I_p, V_q(j)).$$

To prove the lemma it suffices to show that

$$\dim_{O_E/\mathfrak{q}} H^0(I_p, A[\mathfrak{q}](j)) = \dim_{E_q} H^0(I_p, V_q(j)),$$

since this ensures that  $H^0(I_p, A_q(j)) = V_q(j)^{I_p} / T_q(j)^{I_p}$ , hence that  $H^0(\mathbb{Q}_p, A_q(j)) = H^0(\mathbb{Q}_p, V_q(j)^{I_p} / T_q(j)^{I_p})$ . If the dimensions differ then, given that  $f$  is not congruent modulo  $\mathfrak{q}$  to a newform of level dividing  $N/p$ , Condition (b) of Proposition 2.3 of [L] is satisfied. If Condition (a) was not satisfied then Proposition 2.2 of [L] would imply that  $f$  was congruent modulo  $\mathfrak{q}$  to a twist of level dividing  $N/p$ . Since Condition (c) is clearly also satisfied, we are in a situation covered by one of the three cases in Proposition 2.3 of [L]. Since  $p \not\equiv -1 \pmod{q}$  if  $p^2 \mid N$ , Case 3 is excluded, so  $A[\mathfrak{q}](j)$  is unramified at  $p$  and  $\text{ord}_p(N) = 1$ . (Here we are using Carayol’s result that  $N$  is the prime-to- $q$  part of the conductor of  $V_q$  [Ca1].) But

then Theorem 1 of [JL] (which uses the condition  $q > k$ ) implies the existence of a newform of weight  $k$ , trivial character and level dividing  $N/p$ , congruent to  $g$  modulo  $\mathfrak{q}$ , for Fourier coefficients of index coprime to  $Nq$ . This contradicts our hypotheses.  $\square$

*Remark 4.5.* For an example of what can be done when  $f$  is congruent to a form of lower level, see the first example in Section 7.4 below.

**Lemma 4.6.** *If  $\mathfrak{q} \mid q$  is a prime of  $E$  such that  $q \nmid Nk!$ , then  $\text{ord}_{\mathfrak{q}}(c_q) = 0$ .*

*Proof.* It follows from Lemma 5.7 of [DFG1] (whose proof relies on an application, at the end of Section 2.2, of the results of [Fa]) that  $T_{\mathfrak{q}}$  is the  $O_{\mathfrak{q}}[\text{Gal}(\overline{\mathbb{Q}}_q/\mathbb{Q}_q)]$ -module associated to the filtered module  $T_{\text{dR}} \otimes O_{\mathfrak{q}}$  by the functor they call  $\mathbb{V}$ . (This property is part of the definition of an  $S$ -integral premotivic structure given in Section 1.2 of [DFG1].) Given this, the lemma follows from Theorem 4.1(iii) of [BK]. (That  $\mathbb{V}$  is the same as the functor used in Theorem 4.1 of [BK] follows from the first paragraph of 2(h) of [Fa].)  $\square$

**Lemma 4.7.** *If  $A[\lambda]$  is an irreducible representation of  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ , then*

$$\text{ord}_{\lambda}(\#\Gamma_{\mathbb{Q}}) = 0.$$

*Proof.* This follows trivially from the definition.  $\square$

Putting together the above lemmas we arrive at the following:

**Proposition 4.8.** *Let  $q \nmid N$  be a prime satisfying  $q > k$  and suppose that  $A[\mathfrak{q}]$  is an irreducible representation of  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ , where  $\mathfrak{q} \mid q$ . Assume the same hypotheses as in Lemma 4.4 for all  $p \mid N$ . Choose  $T_{\text{dR}}$  and  $T_B$  which locally at  $\mathfrak{q}$  are as in the previous section. If  $L(f, k/2)\mathfrak{a}^{\pm}/\text{vol}_{\infty} \neq 0$  then the Bloch-Kato conjecture predicts that*

$$\text{ord}_{\mathfrak{q}}(\#\text{III}) = \text{ord}_{\mathfrak{q}}(L(f, k/2)\mathfrak{a}^{\pm}/\text{vol}_{\infty}).$$

## 5. CONGRUENCES OF SPECIAL VALUES

Let  $f = \sum a_n q^n$  and  $g = \sum b_n q^n$  be newforms of equal weight  $k \geq 2$  for  $\Gamma_0(N)$ . Let  $E$  be a number field large enough to contain all the coefficients  $a_n$  and  $b_n$ . Suppose that  $\mathfrak{q} \mid q$  is a prime of  $E$  such that  $f \equiv g \pmod{\mathfrak{q}}$ , i.e.  $a_n \equiv b_n \pmod{\mathfrak{q}}$  for all  $n$ . Assume that  $A[\mathfrak{q}]$  is an irreducible representation of  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ , and that  $q \nmid N\phi(N)k!$ . Choose  $\delta_f^{\pm} \in T_B^{\pm}$  in such a way that  $\text{ord}_{\mathfrak{q}}(\mathfrak{a}^{\pm}) = 0$ , i.e.,  $\delta_f^{\pm}$  generates  $T_B^{\pm}$  locally at  $\mathfrak{q}$ . Make two further assumptions:

$$L(f, k/2) \neq 0 \quad \text{and} \quad L(g, k/2) = 0.$$

**Proposition 5.1.** *With assumptions as above,  $\text{ord}_{\mathfrak{q}}(L(f, k/2)/\text{vol}_{\infty}) > 0$ .*

*Proof.* This is based on some of the ideas used in Section 1 of [V]. Note the apparent typo in Theorem 1.13 of [V], which presumably should refer to ‘‘Condition 2’’. Since  $\text{ord}_{\mathfrak{q}}(\mathfrak{a}^{\pm}) = 0$ , we just need to show that  $\text{ord}_{\mathfrak{q}}(L(f, k/2)/((2\pi i)^{k/2}\Omega_{\pm})) > 0$ , where  $\pm 1 = (-1)^{(k/2)-1}$ . It is well known, and easy to prove, that

$$\int_0^{\infty} f(iy)y^{s-1}dy = (2\pi)^{-s}\Gamma(s)L(f, s).$$

Hence, if for  $0 \leq j \leq k-2$  we define the  $j^{\text{th}}$  period

$$r_j(f) = \int_0^{i\infty} f(z)z^j dz,$$

where the integral is taken along the positive imaginary axis, then

$$r_j(f) = j!(-2\pi i)^{-(j+1)} L_f(j+1).$$

Thus we are reduced to showing that  $\text{ord}_{\mathfrak{q}}(r_{(k/2)-1}(f)/\Omega_{\pm}) > 0$ .

Let  $\mathcal{D}_0$  be the group of divisors of degree zero supported on  $\mathbb{P}^1(\mathbb{Q})$ . For a  $\mathbb{Z}$ -algebra  $R$  and integer  $r \geq 0$ , let  $P_r(R)$  be the additive group of homogeneous polynomials of degree  $r$  in  $R[X, Y]$ . Both these groups have a natural action of  $\Gamma_1(N)$ . Let  $S_{\Gamma_1(N)}(k, R) := \text{Hom}_{\Gamma_1(N)}(\mathcal{D}_0, P_{k-2}(R))$  be the  $R$ -module of weight  $k$  modular symbols for  $\Gamma_1(N)$ .

Via the isomorphism (8) in Section 1.5 of [V], combined with the argument in 1.7 of [V], the cohomology class  $\omega_f^{\pm}$  corresponds to a modular symbol  $\Phi_f^{\pm} \in S_{\Gamma_1(N)}(k, \mathbb{C})$ , and  $\delta_f^{\pm}$  corresponds to an element  $\Delta_f^{\pm} \in S_{\Gamma_1(N)}(k, O_{E, \mathfrak{q}})$ . We are now dealing with cohomology over  $X_1(N)$  rather than  $M(N)$ , which is why we insist that  $\mathfrak{q} \nmid \phi(N)$ . It follows from the last line of Section 4.2 of [St] that, up to some small factorials which do not matter locally at  $\mathfrak{q}$ ,

$$\Phi_f^{\pm}([\infty] - [0]) = \sum_{j=0, j \equiv (k/2)-1 \pmod{2}}^{k-2} r_f(j) X^j Y^{k-2-j}.$$

Since  $\omega_f^{\pm} = \Omega_f^{\pm} \delta_f^{\pm}$ , we see that

$$\Delta_f^{\pm}([\infty] - [0]) = \sum_{j=0, j \equiv (k/2)-1 \pmod{2}}^{k-2} (r_f(j)/\Omega_f^{\pm}) X^j Y^{k-2-j}.$$

The coefficient of  $X^{(k/2)-1} Y^{(k/2)-1}$  is what we would like to show is divisible by  $\mathfrak{q}$ . Similarly

$$\Phi_g^{\pm}([\infty] - [0]) = \sum_{j=0, j \equiv (k/2)-1 \pmod{2}}^{k-2} r_g(j) X^j Y^{k-2-j}.$$

The coefficient of  $X^{(k/2)-1} Y^{(k/2)-1}$  in this is 0, since  $L(g, k/2) = 0$ . Therefore it would suffice to show that, for some  $\mu \in O_E$ , the element  $\Delta_f^{\pm} - \mu \Delta_g^{\pm}$  is divisible by  $\mathfrak{q}$  in  $S_{\Gamma_1(N)}(k, O_{E, \mathfrak{q}})$ . It suffices to show that, for some  $\mu \in O_E$ , the element  $\delta_f^{\pm} - \mu \delta_g^{\pm}$  is divisible by  $\mathfrak{q}$ , considered as an element of  $\mathfrak{q}$ -adic cohomology of  $X_1(N)$  with non-constant coefficients. This would be the case if  $\delta_f^{\pm}$  and  $\delta_g^{\pm}$  generate the same one-dimensional subspace upon reduction modulo  $\mathfrak{q}$ . But this is a consequence of Theorem 2.1(1) of [FJ] (for which we need the irreducibility of  $A[\mathfrak{q}]$ ).  $\square$

*Remark 5.2.* The signs in the functional equations of  $L(f, s)$  and  $L(g, s)$  are equal. They are determined by the eigenvalue of the Atkin-Lehner involution  $W_N$ , which is determined by  $a_N$  and  $b_N$  modulo  $\mathfrak{q}$ , because  $a_N$  and  $b_N$  are each  $N^{k/2-1}$  times this sign and  $\mathfrak{q}$  has residue characteristic coprime to  $2N$ . The common sign in the functional equation is  $(-1)^{k/2} w_N$ , where  $w_N$  is the common eigenvalue of  $W_N$  acting on  $f$  and  $g$ .

This is analogous to the remark at the end of Section 3 of [CM1], which shows that if  $\mathfrak{q}$  has odd residue characteristic and  $L(f, k/2) \neq 0$  but  $L(g, k/2) = 0$  then  $L(g, s)$  must vanish to order at least two at  $s = k/2$ . Note that Maeda's conjecture implies that there are no examples of  $g$  of level one with positive sign in their functional equation such that  $L(g, k/2) = 0$  (see [CF]).

## 6. CONSTRUCTING ELEMENTS OF THE SHAFAREVICH-TATE GROUP

Let  $f$ ,  $g$  and  $\mathfrak{q}$  be as in the first paragraph of the previous section. In the previous section we showed how the congruence between  $f$  and  $g$  relates the vanishing of  $L(g, k/2)$  to the divisibility by  $\mathfrak{q}$  of an “algebraic part” of  $L(f, k/2)$ . Conjecturally the former is associated with the existence of certain algebraic cycles (for  $M_g$ ) while the latter is associated with the existence of certain elements of the Shafarevich-Tate group (for  $M_f$ , as we saw in §4). In this section we show how the congruence, interpreted in terms of Galois representations, provides a direct link between algebraic cycles and the Shafarevich-Tate group.

For  $f$  we have defined  $V_\lambda$ ,  $T_\lambda$  and  $A_\lambda$ . Let  $V'_\lambda$ ,  $T'_\lambda$  and  $A'_\lambda$  be the corresponding objects for  $g$ . Since  $a_p$  is the trace of  $\text{Frob}_p^{-1}$  on  $V_\lambda$ , it follows from the Chebotarev Density Theorem that  $A[\mathfrak{q}]$  and  $A'[\mathfrak{q}]$ , if irreducible, are isomorphic as  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ -modules.

Recall that  $L(g, k/2) = 0$  and  $L(f, k/2) \neq 0$ . Since the sign in the functional equation for  $L(g, s)$  is positive (this follows from  $L(f, k/2) \neq 0$ , see Remark 5.2), the order of vanishing of  $L(g, s)$  at  $s = k/2$  is at least 2. According to the Beilinson-Bloch conjecture [B, Be], the order of vanishing of  $L(g, s)$  at  $s = k/2$  is the rank of the group  $\text{CH}_0^{k/2}(M_g)(\mathbb{Q})$  of  $\mathbb{Q}$ -rational equivalence classes of null-homologous, algebraic cycles of codimension  $k/2$  on the motive  $M_g$ . (This generalises the part of the Birch-Swinnerton-Dyer conjecture which says that for an elliptic curve  $E/\mathbb{Q}$ , the order of vanishing of  $L(E, s)$  at  $s = 1$  is equal to the rank of the Mordell-Weil group  $E(\mathbb{Q})$ .)

Via the  $\mathfrak{q}$ -adic Abel-Jacobi map,  $\text{CH}_0^{k/2}(M_g)(\mathbb{Q})$  maps to  $H^1(\mathbb{Q}, V'_\mathfrak{q}(k/2))$ , and its image is contained in the subspace  $H_f^1(\mathbb{Q}, V'_\mathfrak{q}(k/2))$ , by 3.1 and 3.2 of [Ne]. If, as expected, the  $\mathfrak{q}$ -adic Abel-Jacobi map is injective, we get (assuming also the Beilinson-Bloch conjecture) a subspace of  $H_f^1(\mathbb{Q}, V'_\mathfrak{q}(k/2))$  of dimension equal to the order of vanishing of  $L(g, s)$  at  $s = k/2$ . In fact, one could simply conjecture that the dimension of  $H_f^1(\mathbb{Q}, V'_\mathfrak{q}(k/2))$  is equal to the order of vanishing of  $L(g, s)$  at  $s = k/2$ . This would follow from the “conjectures”  $C_r(M)$  and  $C_\lambda^i(M)$  in Sections 1 and 6.5 of [Fo2]. We shall call it the “strong” Beilinson-Bloch conjecture.

Similarly, if  $L(f, k/2) \neq 0$  then we expect that  $H_f^1(\mathbb{Q}, V_\mathfrak{q}(k/2)) = 0$ , so that  $H_f^1(\mathbb{Q}, A_\mathfrak{q}(k/2))$  coincides with the  $\mathfrak{q}$ -part of III.

**Theorem 6.1.** *Let  $q \nmid N$  be a prime satisfying  $q > k$ . Let  $r$  be the dimension of  $H_f^1(\mathbb{Q}, V'_\mathfrak{q}(k/2))$ . Suppose that  $A[\mathfrak{q}]$  is an irreducible representation of  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  and that for no prime  $p \mid N$  is  $f$  congruent modulo  $\mathfrak{q}$  (for Fourier coefficients of index coprime to  $Nq$ ) to a newform of weight  $k$ , trivial character and level dividing  $N/p$ . Suppose that, for all primes  $p \mid N$ ,  $p \not\equiv -w_p \pmod{q}$ , with  $p \not\equiv -1 \pmod{q}$  if  $p^2 \mid N$ . (Here  $w_p$  is the common eigenvalue of the Atkin-Lehner involution  $W_p$  acting on  $f$  and  $g$ .) Then the  $\mathfrak{q}$ -torsion subgroup of  $H_f^1(\mathbb{Q}, A_\mathfrak{q}(k/2))$  has  $\mathbb{F}_\mathfrak{q}$ -rank at least  $r$ .*

*Proof.* The theorem is trivially true if  $r = 0$ , so we assume that  $r > 0$ . It follows easily from our hypothesis that the rank of the free part of  $H_f^1(\mathbb{Q}, T'_q(k/2))$  is  $r$ . The natural map from  $H_f^1(\mathbb{Q}, T'_q(k/2))/\mathfrak{q}H_f^1(\mathbb{Q}, T'_q(k/2))$  to  $H^1(\mathbb{Q}, A'[\mathfrak{q}](k/2))$  is injective. Take a nonzero class  $c$  in the image, which has  $\mathbb{F}_q$ -rank  $r$ . Choose  $d \in H_f^1(\mathbb{Q}, T'_q(k/2))$  mapping to  $c$ . Consider the  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ -cohomology of the short exact sequence

$$0 \longrightarrow A'[\mathfrak{q}](k/2) \longrightarrow A'_q(k/2) \xrightarrow{\pi} A'_q(k/2) \longrightarrow 0,$$

where  $\pi$  is multiplication by a uniformising element of  $O_q$ . By irreducibility,  $H^0(\mathbb{Q}, A[\mathfrak{q}](k/2))$  is trivial. Hence  $H^0(\mathbb{Q}, A_q(k/2))$  is trivial, so  $H^1(\mathbb{Q}, A[\mathfrak{q}](k/2))$  injects into  $H^1(\mathbb{Q}, A_q(k/2))$ , and we get a nonzero,  $\mathfrak{q}$ -torsion class  $\gamma \in H^1(\mathbb{Q}, A_q(k/2))$ .

Our aim is to show that  $\text{res}_p(\gamma) \in H_f^1(\mathbb{Q}_p, A_q(k/2))$ , for all (finite) primes  $p$ . We consider separately the cases  $p \nmid qN$ ,  $p \mid N$  and  $p = q$ .

**Case (1)**  $p \nmid qN$ :

Consider the  $I_p$ -cohomology of the short exact sequence above. Since in this case  $A'_q(k/2)$  is unramified at  $p$ ,  $H^0(I_p, A'_q(k/2)) = A'_q(k/2)$ , which is  $\mathfrak{q}$ -divisible. Therefore  $H^1(I_p, A'[\mathfrak{q}](k/2))$  (which, remember, is the same as  $H^1(I_p, A[\mathfrak{q}](k/2))$ ) injects into  $H^1(I_p, A'_q(k/2))$ . It follows from the fact that  $d \in H_f^1(\mathbb{Q}, T'_q(k/2))$  that the image in  $H^1(I_p, A'_q(k/2))$  of the restriction of  $c$  is zero, hence that the restriction of  $c$  to  $H^1(I_p, A'[\mathfrak{q}](k/2)) \simeq H^1(I_p, A[\mathfrak{q}](k/2))$  is zero. Hence the restriction of  $\gamma$  to  $H^1(I_p, A_q(k/2))$  is also zero. By line 3 of p. 125 of [F11],  $H_f^1(\mathbb{Q}_p, A_q(k/2))$  is equal to (not just contained in) the kernel of the map from  $H^1(\mathbb{Q}_p, A_q(k/2))$  to  $H^1(I_p, A_q(k/2))$ , so we have shown that  $\text{res}_p(\gamma) \in H_f^1(\mathbb{Q}_p, A_q(k/2))$ .

**Case (2)**  $p \mid N$ :

First we show that  $H^0(I_p, A'_q(k/2))$  is  $\mathfrak{q}$ -divisible. It suffices to show that

$$\dim H^0(I_p, A'[\mathfrak{q}](k/2)) = \dim H^0(I_p, V'_q(k/2)),$$

since then the natural map from  $H^0(I_p, V'_q(k/2))$  to  $H^0(I_p, A'_q(k/2))$  is surjective; this may be done as in the proof of Lemma 4.4. It follows as above that the image of  $c \in H^1(\mathbb{Q}, A[\mathfrak{q}](k/2))$  in  $H^1(I_p, A[\mathfrak{q}](k/2))$  is zero. Then  $\text{res}_p(c)$  comes from  $H^1(D_p/I_p, H^0(I_p, A[\mathfrak{q}](k/2)))$ , by inflation-restriction. The order of this group is the same as the order of the group  $H^0(\mathbb{Q}_p, A[\mathfrak{q}](k/2))$  (this is Lemma 1 of [W]), which we claim is trivial. By the work of Carayol [Ca1], the level  $N$  is the conductor of  $V_q(k/2)$ , so  $p \mid N$  implies that  $V_q(k/2)$  is ramified at  $p$ , hence  $\dim H^0(I_p, V_q(k/2)) = 0$  or  $1$ . As above, we see that  $\dim H^0(I_p, V_q(k/2)) = \dim H^0(I_p, A[\mathfrak{q}](k/2))$ , so we need only consider the case where this common dimension is  $1$ . The (motivic) Euler factor at  $p$  for  $M_f$  is  $(1 - \alpha p^{-s})^{-1}$ , where  $\text{Frob}_p^{-1}$  acts as multiplication by  $\alpha$  on the one-dimensional space  $H^0(I_p, V_q)$ . It follows from Théorème A of [Ca1] that this is the same as the Euler factor at  $p$  of  $L(f, s)$ . By Theorems 3(ii) and 5 of [AL], it then follows that  $p^2 \nmid N$  and  $\alpha = -w_p p^{(k/2)-1}$ , where  $w_p = \pm 1$  is such that  $W_p f = w_p f$ . Twisting by  $k/2$ ,  $\text{Frob}_p^{-1}$  acts on  $H^0(I_p, V_q(k/2))$  (hence also on  $H^0(I_p, A[\mathfrak{q}](k/2))$ ) as  $-w_p p^{-1}$ . Since  $p \not\equiv -w_p \pmod{q}$ , we see that  $H^0(\mathbb{Q}_p, A[\mathfrak{q}](k/2))$  is trivial. Hence  $\text{res}_p(c) = 0$  so  $\text{res}_p(\gamma) = 0$  and certainly lies in  $H_f^1(\mathbb{Q}_p, A_q(k/2))$ .

**Case (3)**  $p = q$ :

Since  $q \nmid N$  is a prime of good reduction for the motive  $M_g$ ,  $V'_q$  is a crystalline representation of  $\text{Gal}(\overline{\mathbb{Q}}_q/\mathbb{Q}_q)$ , meaning  $D_{\text{cris}}(V'_q)$  and  $V'_q$  have the same dimension, where  $D_{\text{cris}}(V'_q) := H^0(\mathbb{Q}_q, V'_q \otimes_{\mathbb{Q}_q} B_{\text{cris}})$ . (This is a consequence of Theorem 5.6 of [Fa].) As already noted in the proof of Lemma 4.6,  $T_q$  is the  $O_q[\text{Gal}(\overline{\mathbb{Q}}_q/\mathbb{Q}_q)]$ -module associated to the filtered module  $T_{\text{dR}} \otimes O_q$ . Since also  $q > k$ , we may now prove, in the same manner as Proposition 9.2 of [Dul], that  $\text{res}_q(\gamma) \in H_f^1(\mathbb{Q}_q, A_q(k/2))$ . For the convenience of the reader, we give some details.

In Lemma 4.4 of [BK], a cohomological functor  $\{h^i\}_{i \geq 0}$  is constructed on the Fontaine-Lafaille category of filtered Dieudonné modules over  $\mathbb{Z}_q$ .  $h^i(D) = 0$  for all  $i \geq 2$  and all  $D$ , and  $h^i(D) = \text{Ext}^i(1_{FD}, D)$  for all  $i$  and  $D$ , where  $1_{FD}$  is the “unit” filtered Dieudonné module.

Now let  $D = T_{\text{dR}} \otimes O_q$  and  $D' = T'_{\text{dR}} \otimes O_q$ . By Lemma 4.5 (c) of [BK],

$$h^1(D) \simeq H_e^1(\mathbb{Q}_q, T_q),$$

where

$$H_e^1(\mathbb{Q}_q, T_q) = \ker(H^1(\mathbb{Q}_q, T_q) \rightarrow H^1(\mathbb{Q}_q, V_q)/H_e^1(\mathbb{Q}_q, V_q))$$

and

$$H_e^1(\mathbb{Q}_q, V_q) = \ker(H^1(\mathbb{Q}_q, V_q) \rightarrow H^1(\mathbb{Q}_q, B_{\text{cris}}^{f=1} \otimes_{\mathbb{Q}_q} V_q)).$$

Likewise  $h^1(D') \simeq H_e^1(\mathbb{Q}_q, T'_q)$ . When applying results of [BK] we view  $D, T_q$  etc. simply as  $\mathbb{Z}_q$ -modules, forgetting the  $O_q$ -structure.

For an integer  $j$  let  $D(j)$  be  $D$  with the Hodge filtration shifted by  $j$ . Then

$$h^1(D(j)) \simeq H_e^1(\mathbb{Q}_q, T_q(j))$$

(as long as  $k - p + 1 < j < p - 1$ , so that  $D(j)$  satisfies the hypotheses of Lemma 4.5 of [BK]). By Corollary 3.8.4 of [BK],

$$H_f^1(\mathbb{Q}_q, V_q(j))/H_e^1(\mathbb{Q}_q, V_q(j)) \simeq (D(j) \otimes_{\mathbb{Z}_q} \mathbb{Q}_q)/(1-f)(D(j) \otimes_{\mathbb{Z}_q} \mathbb{Q}_q),$$

where  $f$  is the Frobenius operator on crystalline cohomology. By 1.2.4(ii) of [Sc], and the Weil conjectures,  $H_e^1(\mathbb{Q}_q, V_q(j)) = H_f^1(\mathbb{Q}_q, V_q(j))$ , since  $j \neq (k-1)/2$ . Similarly  $H_e^1(\mathbb{Q}_q, V'_q(j)) = H_f^1(\mathbb{Q}_q, V'_q(j))$ .

We have

$$h^1(D(k/2)) \simeq H_f^1(\mathbb{Q}_q, T_q(k/2)) \quad \text{and} \quad h^1(D'(k/2)) \simeq H_f^1(\mathbb{Q}_q, T'_q(k/2)).$$

The exact sequence in the middle of page 366 of [BK] gives us a commutative diagram.

$$\begin{array}{ccccc} h^1(D'(k/2)) & \xrightarrow{\pi} & h^1(D'(k/2)) & \longrightarrow & h^1(D'(k/2)/\mathfrak{q}D'(k/2)) \\ \downarrow & & \downarrow & & \downarrow \\ H^1(\mathbb{Q}_q, T'_q(k/2)) & \xrightarrow{\pi} & H^1(\mathbb{Q}_q, T'_q(k/2)) & \longrightarrow & H^1(\mathbb{Q}_q, A'[\mathfrak{q}](k/2)). \end{array}$$

The vertical arrows are all inclusions and we know that the image of  $h^1(D'(k/2))$  in  $H^1(\mathbb{Q}_q, T'_q(k/2))$  is exactly  $H_f^1(\mathbb{Q}_q, T'_q(k/2))$ . The top right horizontal map is surjective since  $h^2(D'(k/2)) = 0$ .

The class  $\text{res}_q(c) \in H^1(\mathbb{Q}_q, A'[\mathfrak{q}](k/2))$  is in the image of  $H_f^1(\mathbb{Q}_q, T'_q(k/2))$ , by construction, and therefore is in the image of  $h^1(D'(k/2)/\mathfrak{q}D'(k/2))$ . By the fullness and exactness of the Fontaine-Lafaille functor [FL] (see Theorem 4.3 of [BK]),  $D'(k/2)/\mathfrak{q}D'(k/2)$  is isomorphic to  $D(k/2)/\mathfrak{q}D(k/2)$ .

It follows that the class  $\text{res}_q(c) \in H^1(\mathbb{Q}_q, A[\mathfrak{q}](k/2))$  is in the image of  $h^1(D(k/2)/\mathfrak{q}D(k/2))$  by the vertical map in the exact sequence analogous to the above. Since the map from  $h^1(D(k/2))$  to  $h^1(D(k/2)/\mathfrak{q}D(k/2))$  is surjective,  $\text{res}_q(c)$  lies in the image of  $H_f^1(\mathbb{Q}_q, T_q(k/2))$ . From this it follows that  $\text{res}_q(\gamma) \in H_f^1(\mathbb{Q}_q, A_q(k/2))$ , as desired.  $\square$

Theorem 2.7 of [AS] is concerned with verifying local conditions in the case  $k = 2$ , where  $f$  and  $g$  are associated with abelian varieties  $A$  and  $B$ . (Their theorem also applies to abelian varieties over number fields.) Our restriction outlawing congruences modulo  $\mathfrak{q}$  with cusp forms of lower level is analogous to theirs forbidding  $q$  from dividing Tamagawa factors  $c_{A,l}$  and  $c_{B,l}$ . (In the case where  $A$  is an elliptic curve with  $\text{ord}_l(j(A)) < 0$ , consideration of a Tate parametrisation shows that if  $q \mid c_{A,l}$ , i.e., if  $q \mid \text{ord}_l(j(A))$ , then it is possible that  $A[q]$  is unramified at  $l$ .)

In this paper we have encountered two technical problems which we dealt with in quite similar ways:

- (1) dealing with the  $\mathfrak{q}$ -part of  $c_p$  for  $p \mid N$ ;
- (2) proving local conditions at primes  $p \mid N$ , for an element of  $\mathfrak{q}$ -torsion.

If our only interest was in testing the Bloch-Kato conjecture at  $\mathfrak{q}$ , we could have made these problems cancel out, as in Lemma 8.11 of [DFG1], by weakening the local conditions. However, we have chosen not to do so, since we are also interested in the Shafarevich-Tate group, and since the hypotheses we had to assume are not particularly strong. Note that, since  $A[\mathfrak{q}]$  is irreducible, the  $\mathfrak{q}$ -part of  $\text{III}$  does not depend on the choice of  $T_q$ .

## 7. EXAMPLES AND EXPERIMENTS

This section contains tables and numerical examples that illustrate the main themes of this paper. In Section 7.1, we explain Table 1, which contains 16 examples of pairs  $f, g$  such that the strong Beilinson-Bloch conjecture and Theorem 6.1 together imply the existence of nontrivial elements of the Shafarevich-Tate group of the motive attached to  $f$ . Section 7.2 outlines the higher-weight modular symbol computations that were used in making Table 1. Section 7.3 discusses Table 2, which summarizes the results of an extensive computation of conjectural orders of Shafarevich-Tate groups for modular motives of low level and weight. Section 7.4 gives specific examples in which various hypotheses fail. Note that in §7 “modular symbol” has a different meaning from in §5, being related to homology rather than cohomology. For precise definitions see [SV].

**7.1. Visible III Table 1.** Table 1 on page 11 lists sixteen pairs of newforms  $f$  and  $g$  (of equal weights and levels) along with at least one prime  $q$  such that there is a prime  $\mathfrak{q} \mid q$  with  $f \equiv g \pmod{\mathfrak{q}}$ . In each case,  $\text{ord}_{s=k/2} L(g, k/2) \geq 2$  while  $L(f, k/2) \neq 0$ . The notation is as follows. The first column contains a label whose structure is

$$[\text{Level}]k[\text{Weight}][\text{GaloisOrbit}]$$

This label determines a newform  $g = \sum a_n q^n$ , up to Galois conjugacy. For example, **127k4C** denotes a newform in the third Galois orbit of newforms in  $S_4(\Gamma_0(127))$ . The Galois orbits are ordered first by the degree of  $\mathbb{Q}(\dots, a_n, \dots)$ , then by the sequence of absolute values  $|\text{Tr}(a_p(g))|$  for  $p$  not dividing the level, with positive trace being first in the event that the two absolute values are equal, and the first

TABLE 1. Visible III

$g$	$\deg(g)$	$f$	$\deg(f)$	$q$ 's
<b>127k4A</b>	1	<b>127k4C</b>	17	43
<b>159k4B</b>	1	<b>159k4E</b>	16	5, 23
<b>365k4A</b>	1	<b>365k4E</b>	18	29
<b>369k4B</b>	1	<b>369k4I</b>	9	13
<b>453k4A</b>	1	<b>453k4E</b>	23	17
<b>465k4B</b>	1	<b>465k4I</b>	7	11
<b>477k4B</b>	1	<b>477k4L</b>	12	73
<b>567k4B</b>	1	<b>567k4H</b>	8	23
<b>581k4A</b>	1	<b>581k4E</b>	34	$19^2$
<b>657k4A</b>	1	<b>657k4C</b>	7	5
<b>657k4A</b>	1	<b>657k4G</b>	12	5
<b>681k4A</b>	1	<b>681k4D</b>	30	59
<b>684k4C</b>	1	<b>684k4K</b>	4	$7^2$
<b>95k6A</b>	1	<b>95k6D</b>	9	31, 59
<b>122k6A</b>	1	<b>122k6D</b>	6	73
<b>260k6A</b>	1	<b>260k6E</b>	4	17

Galois orbit is denoted **A**, the second **B**, and so on. The second column contains the degree of the field  $\mathbb{Q}(\dots, a_n, \dots)$ . The third and fourth columns contain  $f$  and its degree, respectively. The fifth column contains at least one prime  $q$  such that there is a prime  $\mathfrak{q} \mid q$  with  $f \equiv g \pmod{\mathfrak{q}}$ , and such that the hypotheses of Theorem 6.1 (except possibly  $r > 0$ ) are satisfied for  $f$ ,  $g$ , and  $\mathfrak{q}$ .

For the two examples **581k4E** and **684k4K**, the square of a prime  $q$  appears in the  $q$ -column, meaning  $q^2$  divides the order of the group  $S_k(\Gamma_0(N), \mathbb{Z})/(W + W^\perp)$ , defined at the end of 7.3 below.

We describe the first line of Table 1 in more detail. See the next section for further details on how the computations were performed.

Using modular symbols, we find that there is a newform

$$g = q - q^2 - 8q^3 - 7q^4 - 15q^5 + 8q^6 - 25q^7 + \dots \in S_4(\Gamma_0(127))$$

with  $L(g, 2) = 0$ . Because  $W_{127}(g) = g$ , the functional equation has sign  $+1$ , so  $L'(g, 2) = 0$  as well. We also find a newform  $f \in S_4(\Gamma_0(127))$  whose Fourier coefficients generate a number field  $K$  of degree 17, and by computing the image of the modular symbol  $XY\{0, \infty\}$  under the period mapping, we find that  $L(f, 2) \neq 0$ . The newforms  $f$  and  $g$  are congruent modulo a prime  $\mathfrak{q}$  of  $K$  of residue characteristic 43. The mod  $\mathfrak{q}$  reductions of  $f$  and  $g$  are both equal to

$$\bar{f} = q + 42q^2 + 35q^3 + 36q^4 + 28q^5 + 8q^6 + 18q^7 + \dots \in \mathbb{F}_{43}[[q]].$$

There is no form in the Eisenstein subspaces of  $M_4(\Gamma_0(127))$  whose Fourier coefficients of index  $n$ , with  $(n, 127) = 1$ , are congruent modulo 43 to those of  $\bar{f}$ , so  $\rho_{f, \mathfrak{q}} \approx \rho_{g, \mathfrak{q}}$  is irreducible. Since 127 is prime and  $S_4(\mathrm{SL}_2(\mathbb{Z})) = 0$ ,  $\bar{f}$  does not arise from a level 1 form of weight 4. Thus we have checked the hypotheses of Theorem 6.1, so if  $r$  is the dimension of  $H_f^1(\mathbb{Q}, V_{\mathfrak{q}}'(k/2))$  then the  $\mathfrak{q}$ -torsion subgroup of  $H_f^1(\mathbb{Q}, A_{\mathfrak{q}}(k/2))$  has  $\mathbb{F}_{\mathfrak{q}}$ -rank at least  $r$ .

Recall that since  $\text{ord}_{s=k/2} L(g, s) \geq 2$ , we expect that  $r \geq 2$ . Then, since  $L(f, k/2) \neq 0$ , we expect that the  $\mathfrak{q}$ -torsion subgroup of  $H_f^1(\mathbb{Q}, A_{\mathfrak{q}}(k/2))$  is equal to the  $\mathfrak{q}$ -torsion subgroup of III. Admitting these assumptions, we have constructed the  $\mathfrak{q}$ -torsion in III predicted by the Bloch-Kato conjecture.

For particular examples of elliptic curves one can often find and write down rational points predicted by the Birch and Swinnerton-Dyer conjecture. It would be nice if likewise one could explicitly produce algebraic cycles predicted by the Beilinson-Bloch conjecture in the above examples. Since  $L'(g, k/2) = 0$ , Heegner cycles have height zero (see Corollary 0.3.2 of [Z]), so ought to be trivial in  $\text{CH}_0^{k/2}(M_g) \otimes \mathbb{Q}$ .

**7.2. How the computation was performed.** We give a brief summary of how the computation was performed. The algorithms that we used were implemented by the second author, and most are a standard part of MAGMA (see [BCP]).

Let  $g, f$ , and  $q$  be some data from a line of Table 1 and let  $N$  denote the level of  $g$ . We verified the existence of a congruence modulo  $q$ , that  $L(g, \frac{k}{2}) = L'(g, \frac{k}{2}) = 0$  and  $L(f, \frac{k}{2}) \neq 0$ , and that  $\rho_{f, \mathfrak{q}} = \rho_{g, \mathfrak{q}}$  is irreducible and does not arise from any  $S_k(\Gamma_0(N/p))$ , as follows:

To prove there is a congruence, we showed that the corresponding *integral* spaces of modular symbols satisfy an appropriate congruence, which forces the existence of a congruence on the level of Fourier expansions. We showed that  $\rho_{g, \mathfrak{q}}$  is irreducible by computing a set that contains all possible residue characteristics of congruences between  $g$  and any Eisenstein series of level dividing  $N$ , where by congruence, we mean a congruence for all Fourier coefficients of index  $n$  with  $(n, N) = 1$ . Similarly, we checked that  $g$  is not congruent to any form  $h$  of level  $N/p$  for any  $p$  that exactly divides  $N$  by listing a basis of such  $h$  and finding the possible congruences, where again we disregard the Fourier coefficients of index not coprime to  $N$ .

To verify that  $L(g, \frac{k}{2}) = 0$ , we computed the image of the modular symbol  $\mathbf{e} = X^{\frac{k}{2}-1} Y^{\frac{k}{2}-1} \{0, \infty\}$  under a map with the same kernel as the period mapping, and found that the image was 0. The period mapping sends the modular symbol  $\mathbf{e}$  to a nonzero multiple of  $L(g, \frac{k}{2})$ , so that  $\mathbf{e}$  maps to 0 implies that  $L(g, \frac{k}{2}) = 0$ . In a similar way, we verified that  $L(f, \frac{k}{2}) \neq 0$ . Next, we checked that  $W_N(g) = (-1)^{k/2} g$  which, because of the functional equation, implies that  $L'(g, \frac{k}{2}) = 0$ . Table 1 is of independent interest because it includes examples of modular forms of even weight  $> 2$  with a zero at  $\frac{k}{2}$  that is not forced by the functional equation. We found no such examples of weights  $\geq 8$ .

**7.3. Conjecturally nontrivial III.** In this section we apply some of the results of Section 4 to compute lower bounds on conjectural orders of Shafarevich-Tate groups of many modular motives. The results of this section suggest that III of a modular motive is usually not “visible at level  $N$ ”, i.e., explained by congruences at level  $N$ , which agrees with the observations of [CM1] and [AS]. For example, when  $k > 6$  we find many examples of conjecturally nontrivial III but no examples of nontrivial visible III.

For any newform  $f$ , let  $L(M_f/\mathbb{Q}, s) = \prod_{i=1}^d L(f^{(i)}, s)$  where  $f^{(i)}$  runs over the  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ -conjugates of  $f$ . Let  $T$  be the complex torus  $\mathbb{C}^d / (2\pi i)^{k/2} \mathcal{L}$ , where the lattice  $\mathcal{L}$  is defined by integrating integral cuspidal modular symbols (for  $\Gamma_0(N)$ ) against the conjugates of  $f$ . Let  $\Omega_{M_f/\mathbb{Q}}$  denote the volume of the  $(-1)^{(k/2)-1}$  eigenspace  $T^{\pm} = \{z \in T : \bar{z} = (-1)^{(k/2)-1} z\}$  for complex conjugation on  $T$ .

**Lemma 7.1.** *Suppose that  $p \nmid Nk!$  is such that  $f$  is not congruent to any of its Galois conjugates modulo a prime dividing  $p$ . Then the  $p$ -parts of*

$$\frac{L(M_f/\mathbb{Q}, k/2)}{\Omega_{M_f/\mathbb{Q}}} \quad \text{and} \quad \text{Norm} \left( \frac{L(f, k/2)}{\text{vol}_\infty} \mathfrak{a}^\pm \right)$$

are equal, where  $\text{vol}_\infty$  is as in Section 4.

*Proof.* Let  $H$  be the  $\mathbb{Z}$ -module of all integral cuspidal modular symbols for  $\Gamma_0(N)$ . Let  $I$  be the image of  $H$  under projection into the submodule of  $H \otimes \mathbb{Q}$  corresponding to  $f$  and its Galois conjugates. Note that  $I$  is not necessarily contained in  $H$ , but it is contained in  $H \otimes \mathbb{Z}[\frac{1}{m}]$  where  $m$  is divisible by the residue characteristics of any primes of congruence between  $f$  and cuspforms of weight  $k$  for  $\Gamma_0(N)$  which are not Galois conjugate to  $f$ .

The lattice  $\mathcal{L}$  defined in the paragraph before the lemma is (up to divisors of  $Nk!$ ) obtained by pairing the cohomology modular symbols  $\Phi_{f^{(i)}}^\pm$  (as in §5) with the homology modular symbols in  $H$ ; equivalently, since the pairing factors through the map  $H \rightarrow I$ , the lattice  $\mathcal{L}$  is obtained by pairing with the elements of  $I$ . For  $1 \leq i \leq d$  let  $I_i$  be the  $O_E$ -module generated by the image of the projection of  $I$  into  $I \otimes E$  corresponding to  $f^{(i)}$ . The finite index of  $I \otimes O_E$  in  $\bigoplus_{i=1}^d I_i$  is divisible only by primes of congruence between  $f$  and its Galois conjugates. Up to these primes,  $\Omega_{M_f/\mathbb{Q}}/(2\pi i)^{(k/2-1)d}$  is then a product of the  $d$  quantities obtained by pairing  $\Phi_{f^{(i)}}^\pm$  with  $I_i$ , for  $1 \leq i \leq d$ . (These quantities inhabit a kind of tensor product of  $\mathbb{C}^*$  over  $E^*$  with the group of fractional ideals of  $E$ .) Bearing in mind the last line of §3, we see that these quantities are the  $\mathfrak{a}^\pm \Omega_{f^{(i)}}^\pm$ , up to divisors of  $Nk!$ . Now we may apply Lemma 4.1. We have then a factorisation of the left hand side which shows it to be equal to the right hand side, to the extent claimed by the lemma. Note that  $\frac{L(f, k/2)}{\text{vol}_\infty} \mathfrak{a}^\pm$  has an interpretation in terms of integral modular symbols, as in §5, and just gets Galois-conjugated when one replaces  $f$  by some  $f^{(i)}$ .  $\square$

*Remark 7.2.* The newform  $f = \mathbf{319k4C}$  is congruent to one of its Galois conjugates modulo 17 and  $17 \mid \frac{L(M_f/\mathbb{Q}, k/2)}{\Omega_{M_f/\mathbb{Q}}}$  so the lemma and our computations say nothing about whether or not 17 divides  $\text{Norm} \left( \frac{L(f, k/2)}{\text{vol}_\infty} \mathfrak{a}^\pm \right)$ .

Let  $\mathcal{S}$  be the set of newforms with level  $N$  and weight  $k$  satisfying either  $k = 4$  and  $N \leq 321$ , or  $k = 6$  and  $N \leq 199$ , or  $k = 8$  and  $N \leq 149$ , or  $k = 10$  and  $N \leq 72$ , or  $k = 12$  and  $N \leq 49$ . Given  $f \in \mathcal{S}$ , let  $B$  be defined as follows:

- (1) Let  $L_1$  be the numerator of the rational number  $L(M_f/\mathbb{Q}, k/2)/\Omega_{M_f/\mathbb{Q}}$ . If  $L_1 = 0$  let  $B = 1$  and terminate.
- (2) Let  $L_2$  be the part of  $L_1$  that is coprime to  $Nk!$ .
- (3) Let  $L_3$  be the part of  $L_2$  that is coprime to  $p + 1$  for every prime  $p$  such that  $p^2 \mid N$ .
- (4) Let  $L_4$  be the part of  $L_3$  coprime to the residue characteristic of any prime of congruence between  $f$  and a form of weight  $k$  and lower level. (By congruence here, we mean a congruence for coefficients  $a_n$  with  $n$  coprime to the level of  $f$ .)
- (5) Let  $L_5$  be the part of  $L_4$  coprime to the residue characteristic of any prime of congruence between  $f$  and an Eisenstein series. (This eliminates residue characteristics of reducible representations.)

TABLE 2. Conjecturally nontrivial III (mostly invisible)

$f$	$\deg(f)$	$B$ (III bound)	all odd congruence primes
<b>127k4C*</b>	17	$43^2$	43, 127
<b>159k4E*</b>	8	$23^2$	3, 5, 11, 23, 53, 13605689
<b>263k4B</b>	39	$41^2$	263
<b>269k4C</b>	39	$23^2$	269
<b>271k4B</b>	39	$29^2$	271
<b>281k4B</b>	40	$29^2$	281
<b>295k4C</b>	16	$7^2$	3, 5, 11, 59, 101, 659, 70791023
<b>299k4C</b>	20	$29^2$	13, 23, 103, 20063, 21961
<b>321k4C</b>	16	$13^2$	3, 5, 107, 157, 12782373452377
<b>95k6D*</b>	9	$31^2 \cdot 59^2$	3, 5, 17, 19, 31, 59, 113, 26701
<b>101k6B</b>	24	$17^2$	101
<b>103k6B</b>	24	$23^2$	103
<b>111k6C</b>	9	$11^2$	3, 37, 2796169609
<b>122k6D*</b>	6	$73^2$	3, 5, 61, 73, 1303196179
<b>153k6G</b>	5	$7^2$	3, 17, 61, 227
<b>157k6B</b>	34	$251^2$	157
<b>167k6B</b>	40	$41^2$	167
<b>172k6B</b>	9	$7^2$	3, 11, 43, 787
<b>173k6B</b>	39	$71^2$	173
<b>181k6B</b>	40	$107^2$	181
<b>191k6B</b>	46	$85091^2$	191
<b>193k6B</b>	41	$31^2$	193
<b>199k6B</b>	46	$200329^2$	199
<b>47k8B</b>	16	$19^2$	47
<b>59k8B</b>	20	$29^2$	59
<b>67k8B</b>	20	$29^2$	67
<b>71k8B</b>	24	$379^2$	71
<b>73k8B</b>	22	$197^2$	73
<b>74k8C</b>	6	$23^2$	37, 127, 821, 8327168869
<b>79k8B</b>	25	$307^2$	79
<b>83k8B</b>	27	$1019^2$	83
<b>87k8C</b>	9	$11^2$	3, 5, 7, 29, 31, 59, 947, 22877, 3549902897
<b>89k8B</b>	29	$44491^2$	89
<b>97k8B</b>	29	$11^2 \cdot 277^2$	97
<b>101k8B</b>	33	$19^2 \cdot 11503^2$	101
<b>103k8B</b>	32	$75367^2$	103
<b>107k8B</b>	34	$17^2 \cdot 491^2$	107
<b>109k8B</b>	33	$23^2 \cdot 229^2$	109
<b>111k8C</b>	12	$127^2$	3, 7, 11, 13, 17, 23, 37, 6451, 18583, 51162187
<b>113k8B</b>	35	$67^2 \cdot 641^2$	113
<b>115k8B</b>	12	$37^2$	3, 5, 19, 23, 572437, 5168196102449
<b>117k8I</b>	8	$19^2$	3, 13, 181
<b>118k8C</b>	8	$37^2$	5, 13, 17, 59, 163, 3923085859759909
<b>119k8C</b>	16	$1283^2$	3, 7, 13, 17, 109, 883, 5324191, 91528147213

$f$	$\deg(f)$	$B$ (III bound)	all odd congruence primes
<b>121k8F</b>	6	$71^2$	3, 11, 17, 41
<b>121k8G</b>	12	$13^2$	3, 11
<b>121k8H</b>	12	$19^2$	5, 11
<b>125k8D</b>	16	$179^2$	5
<b>127k8B</b>	39	$59^2$	127
<b>128k8F</b>	4	$11^2$	1
<b>131k8B</b>	43	$241^2 \cdot 817838201^2$	131
<b>134k8C</b>	11	$61^2$	11, 17, 41, 67, 71, 421, 2356138931854759
<b>137k8B</b>	42	$71^2 \cdot 749093^2$	137
<b>139k8B</b>	43	$47^2 \cdot 89^2 \cdot 1021^2$	139
<b>141k8C</b>	14	$13^2$	3, 5, 7, 47, 4639, 43831013, 4047347102598757
<b>142k8B</b>	10	$11^2$	3, 53, 71, 56377, 1965431024315921873
<b>143k8C</b>	19	$307^2$	3, 11, 13, 89, 199, 409, 178397, 639259, 1744053597287
<b>143k8D</b>	21	$109^2$	3, 7, 11, 13, 61, 79, 103, 173, 241, 769, 36583
<b>145k8C</b>	17	$29587^2$	5, 11, 29, 107, 251623, 393577, 518737, 9837145699
<b>146k8C</b>	12	$3691^2$	11, 73, 269, 503, 1673540153, 11374452082219
<b>148k8B</b>	11	$19^2$	3, 37
<b>149k8B</b>	47	$11^4 \cdot 40996789^2$	149
<b>43k10B</b>	17	$449^2$	43
<b>47k10B</b>	20	$2213^2$	47
<b>53k10B</b>	21	$673^2$	53
<b>55k10D</b>	9	$71^2$	3, 5, 11, 251, 317, 61339, 19869191
<b>59k10B</b>	25	$37^2$	59
<b>62k10E</b>	7	$23^2$	3, 31, 101, 523, 617, 41192083
<b>64k10K</b>	2	$19^2$	3
<b>67k10B</b>	26	$191^2 \cdot 617^2$	67
<b>68k10B</b>	7	$83^2$	3, 7, 17, 8311
<b>71k10B</b>	30	$1103^2$	71
<b>19k12B</b>	9	$67^2$	5, 17, 19, 31, 571
<b>31k12B</b>	15	$67^2 \cdot 71^2$	31, 13488901
<b>35k12C</b>	6	$17^2$	5, 7, 23, 29, 107, 8609, 1307051
<b>39k12C</b>	6	$73^2$	3, 13, 1491079, 3719832979693
<b>41k12B</b>	20	$54347^2$	7, 41, 3271, 6277
<b>43k12B</b>	20	$212969^2$	43, 1669, 483167
<b>47k12B</b>	23	$24469^2$	17, 47, 59, 2789
<b>49k12H</b>	12	$271^2$	7

- (6) Let  $B$  be the part of  $L_5$  coprime to the residue characteristic of any prime of congruence between  $f$  and any one of its Galois conjugates.

Proposition 4.8 and Lemma 7.1 imply that if  $\text{ord}_p(B) > 0$  then, according to the Bloch-Kato conjecture,  $\text{ord}_p(\#\text{III}) = \text{ord}_p(B) > 0$ .

We computed  $B$  for every newform in  $\mathcal{S}$ . There are many examples in which  $L_3$  is large, but  $B$  is not, and this is because of Tamagawa factors. For example, **39k4C** has  $L_3 = 19$ , but  $B = 1$  because of a 19-congruence with a form of level 13; in this case we must have  $19 \mid c_3(2)$ , where  $c_3(2)$  is as in Section 4. See Section 7.4 for more details. Also note that in every example  $B$  is a perfect square, which, away from

congruence primes, is as predicted by the existence of Flach's generalised Cassels-Tate pairing [F11]. (Note that if  $A[\lambda]$  is irreducible then the lattice  $T_\lambda$  is at worst a scalar multiple of its dual, so the pairing shows that the order of the  $\lambda$ -part of  $\text{III}$ , if finite, is a square.) That our computed value of  $B$  should be a square is not *a priori* obvious.

For simplicity, we discard residue characteristics instead of primes of rings of integers, so our definition of  $B$  is overly conservative. For example, 5 occurs in row 2 of Table 1 but not in Table 2, because **159k4E** is Eisenstein at some prime above 5, but the prime of congruences of characteristic 5 between **159k4B** and **159k4E** is not Eisenstein.

The newforms for which  $B > 1$  are given in Table 2. The second column of the table records the degree of the field generated by the Fourier coefficients of  $f$ . The third contains  $B$ . Let  $W$  be the intersection of the span of all conjugates of  $f$  with  $S_k(\Gamma_0(N), \mathbb{Z})$  and  $W^\perp$  the Petersson orthogonal complement of  $W$  in  $S_k(\Gamma_0(N), \mathbb{Z})$ . The fourth column contains the odd prime divisors of  $\#(S_k(\Gamma_0(N), \mathbb{Z})/(W + W^\perp))$ , which are exactly the possible primes of congruence between  $f$  and non-conjugate cusp forms of the same weight and level. We place a \* next to the four entries of Table 2 that also occur in Table 1.

**7.4. Examples in which hypotheses fail.** We have some other examples where forms of different levels are congruent (for Fourier coefficients of index coprime to the levels). However, Remark 5.2 does not apply, so that one of the forms could have an odd functional equation, and the other could have an even functional equation. For instance, we have a 19-congruence between the newforms  $g = \mathbf{13k4A}$  and  $f = \mathbf{39k4C}$  of Fourier coefficients of index coprime to 39. Here  $L(f, 2) \neq 0$ , while  $L(g, 2) = 0$  since  $L(g, s)$  has *odd* functional equation. Here  $f$  fails the condition about not being congruent to a form of lower level, so in Lemma 4.4 it is possible that  $\text{ord}_q(c_3(2)) > 0$ . In fact this does happen. Because  $V_q'$  (attached to  $g$  of level 13) is unramified at  $p = 3$ ,  $H^0(I_p, A[\mathfrak{q}])$  (the same as  $H^0(I_p, A'[\mathfrak{q}])$ ) is two-dimensional. As in (2) of the proof of Theorem 6.1, one of the eigenvalues of  $\text{Frob}_p^{-1}$  acting on this two-dimensional space is  $\alpha = -w_p p^{(k/2)-1}$ , where  $W_p f = w_p f$ . The other must be  $\beta = -w_p p^{k/2}$ , so that  $\alpha\beta = p^{k-1}$ . Twisting by  $k/2$ , we see that  $\text{Frob}_p^{-1}$  acts as  $-w_p$  on the quotient of  $H^0(I_p, A[\mathfrak{q}](k/2))$  by the image of  $H^0(I_p, V_q(k/2))$ . Hence  $\text{ord}_q(c_p(k/2)) > 0$  when  $w_p = -1$ , which is the case in our example here with  $p = 3$ . Likewise  $H^0(\mathbb{Q}_p, A[\mathfrak{q}](k/2))$  is nontrivial when  $w_p = -1$ , so (2) of the proof of Theorem 6.1 does not work. This is just as well, since had it worked we would have expected  $\text{ord}_q(L(f, k/2)/\text{vol}_\infty) \geq 3$ , which computation shows not to be the case.

In the following example, the divisibility between the levels is the other way round. There is a 7-congruence between  $g = \mathbf{122k6A}$  and  $f = \mathbf{61k6B}$ , both  $L$ -functions have even functional equation, and  $L(g, 3) = 0$ . In the proof of Theorem 6.1, there is a problem with the local condition at  $p = 2$ . The map from  $H^1(I_2, A'[\mathfrak{q}](3))$  to  $H^1(I_2, A'_q(3))$  is not necessarily injective, but its kernel is at most one dimensional, so we still get the  $\mathfrak{q}$ -torsion subgroup of  $H_f^1(\mathbb{Q}, A_q(2))$  having  $\mathbb{F}_q$ -rank at least 1 (assuming  $r \geq 2$ ), and thus get elements of  $\text{III}$  for **61k6B** (assuming all along the strong Beilinson-Bloch conjecture). In particular, these elements of  $\text{III}$  are *invisible* at level 61. When the levels are different we are no longer able to apply Theorem 2.1 of [FJ]. However, we still have the congruences of

integral modular symbols required to make the proof of Proposition 5.1 go through. Indeed, as noted above, the congruences of modular forms were found by producing congruences of modular symbols. Despite these congruences of modular symbols, Remark 5.2 does not apply, since there is no reason to suppose that  $w_N = w_{N'}$ , where  $N$  and  $N'$  are the distinct levels.

Finally, there are two examples where we have a form  $g$  with even functional equation such that  $L(g, k/2) = 0$ , and a congruent form  $f$  which has odd functional equation; these are a 23-congruence between  $g = \mathbf{453k4A}$  and  $f = \mathbf{151k4A}$ , and a 43-congruence between  $g = \mathbf{681k4A}$  and  $f = \mathbf{227k4A}$ . If  $\text{ord}_{s=2} L(f, s) = 1$ , it ought to be the case that  $\dim(H_f^1(\mathbb{Q}, V_q(2))) = 1$ . If we assume this is so, and similarly that  $r = \text{ord}_{s=2}(L(g, s)) \geq 2$ , then unfortunately the appropriate modification of Theorem 6.1 (with strong Beilinson-Bloch conjecture) does not necessarily provide us with nontrivial  $\mathfrak{q}$ -torsion in III. It only tells us that the  $\mathfrak{q}$ -torsion subgroup of  $H_f^1(\mathbb{Q}, A_q(2))$  has  $\mathbb{F}_q$ -rank at least 1. It could all be in the image of  $H_f^1(\mathbb{Q}, V_q(2))$ . III appears in the conjectural formula for the first derivative of the complex  $L$  function, evaluated at  $s = k/2$ , but in combination with a regulator that we have no way of calculating.

Let  $L_q(f, s)$  and  $L_q(g, s)$  be the  $q$ -adic  $L$  functions associated with  $f$  and  $g$  by the construction of Mazur, Tate and Teitelbaum [MTT], each divided by a suitable canonical period. We may show that  $\mathfrak{q} \mid L'_q(f, k/2)$ , though it is not quite clear what to make of this. This divisibility may be proved as follows. The measures  $d\mu_{f,\alpha}$  and (a  $q$ -adic unit times)  $d\mu_{g,\alpha'}$  in [MTT] (again, suitably normalised) are congruent mod  $\mathfrak{q}$ , as a result of the congruence between the modular symbols out of which they are constructed. Integrating an appropriate function against these measures, we find that  $L'_q(f, k/2)$  is congruent mod  $\mathfrak{q}$  to  $L'_q(g, k/2)$ . It remains to observe that  $L'_q(g, k/2) = 0$ , since  $L(g, k/2) = 0$  forces  $L_q(g, k/2) = 0$ , but we are in a case where the signs in the functional equations of  $L(g, s)$  and  $L_q(g, s)$  are the same, positive in this instance. (According to the proposition in Section 18 of [MTT], the signs differ precisely when  $L_q(g, s)$  has a “trivial zero” at  $s = k/2$ .)

We also found some examples for which the conditions of Theorem 6.1 were not met. For example, we have a 7-congruence between  $\mathbf{639k4B}$  and  $\mathbf{639k4H}$ , but  $w_{71} = -1$ , so that  $71 \equiv -w_{71} \pmod{7}$ . There is a similar problem with a 7-congruence between  $\mathbf{260k6A}$  and  $\mathbf{260k6E}$  — here  $w_{13} = 1$  so that  $13 \equiv -w_{13} \pmod{7}$ . According to Propositions 5.1 and 4.8, Bloch-Kato still predicts that the  $\mathfrak{q}$ -part of III is non-trivial in these examples. Finally, there is a 5-congruence between  $\mathbf{116k6A}$  and  $\mathbf{116k6D}$ , but here the prime 5 is less than the weight 6 so Propositions 5.1 and 4.8 (and even Lemma 7.1) do not apply.

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