
581b -- finiteness of the class group

- * Make sure to mention that 581d will be on a number theory topic this week -- elliptic curve computation in Sage.
- * Announce ECC is next week: <http://2010.eccworkshop.org/>
- * Plan for course:
 - * Prove the main theorem of the course (starts this week, may continue to next week): finiteness of class group; Dirichlet's unit theorem (both for ring of integers of number fields)
 - * Key theorems and structure that make it possible to compute (next week): computing O_K , factoring pO_K , computing class group (and examples of how to use Sage to compute these things...)
 - * Local structure (and Galois representations):
Theorems about decomposition and inertia groups,
Definition of Frobenius elements, zeta functions, L-series
 - * Adeles, ideles, and finiteness of the class group: a language that you must know to understand a lot of number theory literature.
 - * Class field theory: statements using both ideal and idelic language
 - * If time permits -- Automorphic forms and representations, the Langlands program, what did that new Fields Medalist do? (prove the "Fundamental Lemma") (Adeles are required to talk about this stuff...)

The class group of a Dedekind domain

Recall:

$R =$ Dedekind domain (noetherian, $\text{krull.dim}(R) \leq 1$,
 R integrally closed in $K = \text{Frac}(R)$)

$\text{Div}(R) =$ group of fractional ideals

Using "Div" since like divisors on a curve; notation only good because of following theorem, we proved completely last week:

Theorem: $\text{Div}(R)$ is a free abelian group (free on the nonzero prime ideals of R).

Defn: A *principal fractional ideal* is one of the form:

$I = \alpha R$ for $0 \neq \alpha$ in K .

Defn: $\text{Prin}(R)$ = group of principal fractional ideals

Defn: $\text{Cl}(R) = \text{Div}(R) / \text{Prin}(R)$ <----- so it's an abelian group

Prop: $\text{Prin}(R)$ isom K^* / R^* .

Proof: $K^* \twoheadrightarrow \text{Prin}(R)$, by definition.

kernel = $\{u \text{ in } K^* : uR \text{ has no prime factors}\} = \{u \text{ in } K^* : uR = R\} = R^*$

Note: if $u \text{ in } K^*$ with $uR = R$, then $u \text{ in } R$, since $1 \text{ in } R$, so $u = u \cdot 1 \text{ in } R$.

Thus exact sequence:

$$1 \twoheadrightarrow R^* \twoheadrightarrow K^* \twoheadrightarrow \text{Div}(R) \twoheadrightarrow \text{Cl}(R) \twoheadrightarrow 1$$

Our main goal is to prove the following *deep theorem* (the deepest in this class?):

Theorem: If $R = O_K$ is ring of integers of number field, then $\text{Cl}(R)$ is *finite*.

Strategy of proof:

* (easy) Use maps $K \hookrightarrow \mathbb{C}$ and log to embed O_K into some Euclidean space \mathbb{R}^n .

* (hard) Use a geometric argument ("geometry of numbers") to show that each ideal class in $\text{Cl}(R)$ contains an ideal I with

$$\text{Norm}(I) \leq (4/\pi)^s (n!/n^n) \sqrt{|d_K|}$$

Here, $\text{Norm}(I) = \#(R/I)$ and $d_K = \text{"discriminant" of } K$.

* (trivial) Observe that there are finitely many ideals of bounded norm.

Remark: Above theorem not true in general! Even "Norm(I)" doesn't make sense in general, since R/I need not be finite, e.g., if $R = \mathbb{Q}[x]$ and $I = (x)$, then $R/I = \mathbb{Q}$ is infinite. Also, whatever d_K is, it wouldn't make sense in general either.

Example in which $\text{Cl}(R)$ is not finite.

$$R = \mathbb{C}[x,y]/(y^2 - (x^3 + 1)), \quad \mathbb{C} = \text{complex numbers}$$

The nonzero prime ideals of R are the ideals

$$P_{\{a,b\}} = (x-a, y-b)$$

where (a,b) is a complex point on the affine curve $y^2 = x^3 + 1$.

A principal fractional ideal is got by a taking any rational function $\alpha(x,y) = f(x,y)/g(x,y)$, with f,g polys, and considering the fractional ideal it generates. We think about this fractional ideal

in terms of its prime factorization (divisor!), so

$$\alpha * R = \text{prod } P_{\{a_i, b_i\}} / \text{prod } Q_{\{c_j, d_j\}}$$

where the (a_i, b_i) are the zeros of $f(x, y)$ and (c_j, d_j) the poles, with appropriate multiplicities.

Claim:

$$P_{\{a, b\}} \text{ is not in } \text{Prin}(R)$$

Proof: If $\alpha = f/g$ and $\alpha * R = P_{\{a, b\}}$, then α is a rational function on $y^2 = x^3 + 1$ which has no poles and one zero. It thus extends to a rational function of degree 1 on the projective closure C of $y^2 = x^3 + 1$, which would extend to an isomorphism to P^1 (see ch 1 of Hartshorne), a contradiction since C has genus 1 and P^1 has genus 0.

NOTE: Totally false if we instead use a genus 0 curve, e.g., $C[X]$.

To see that $\text{Cl}(R)$ is infinite, take any nonzero point $z = (a, b)$ and note that $P_{\{a, b\}}$ defines a nonzero element of $\text{Cl}(R)$.

* The group law is compatible with the the group operation on $\text{Cl}(R)$.
(explain this)

* For $n=1, 2, 3, \dots$, get $P_{\{n * z\}}$ distinct primes that are all nonzero elements of $\text{Cl}(R)$, so $\text{Cl}(R)$ is infinity.

In fact, $\text{Cl}(R)$ is *uncountable*.

So there is something very special with $R = O_K$ that we haven't seen so far, which makes the classgroup small.

DISCRIMINANTS:

A key step in our argument is to introduce a notion of discriminant D of O_K , and note that there are only finitely many ideals with norm at most $|D|$.

Definition: Let a_1, \dots, a_n be a Q -basis for K . Then
 $\text{Disc}(a_1, \dots, a_n) = \det(\text{Tr}(a_i * a_j))$

Let $R =$ ring of integers O_K of K .

Definition:

$$\text{Disc}(R) = \text{Disc}(a_1, \dots, a_n)$$

where a_1, \dots, a_n any basis for R as a \mathbb{Z} -module. Often one writes $\text{Disc}(K) := \text{Disc}(R)$.

Remark: $\text{Disc}(R)$ is nonzero and well defined. (Exercise)

More generally, if S is any finite index subring of R , let $\text{Disc}(S)$ be the discriminant of any \mathbb{Z} -basis for S .

Proposition: $\text{Disc}(S) = \text{Disc}(R) * [R:S]^2$

NORMS OF IDEALS:

Definition (Lattice Index):

L, M -- "lattices" in vector space V over Q
so L, M are \mathbb{Z} -module of rank $\dim(V)$ st $Q \cdot L = Q \cdot M = V$.

$[L:M] = \text{defn} = |\det(A)|$ where A any linear automorphism st $A(L) = M$.

If M contained in L , then $[L:M] = \#(L/M)$ is usual index

In general, for any M, L, N :

$$[L:N] = [L:M] * [M:N]$$

by basic properties of linear transformations and determinants.

Defn:

I - fractional ideal of R
 $\text{Norm}(I) = [R : I]$

which is a nonzero rational number.

Prop:

$B =$ positive integer

Then set of integral ideals I in R with $\text{norm}(I) \leq B$ is finite.

Proof:

An integral ideal I is a subgroup of R of index equal to the norm of I . If G is any finitely generated abelian group, then there are only finitely many subgroups of G of index at most B , since the subgroups of index dividing an integer n are all subgroups of G that contain nG , and the group G/nG is finite.